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Speciální funkce a transformace ve  
zpracování obrazu

# Upozornění

Tento soubor obsahuje veškeré slajdy k předmětu SFTO, část „Momenty“, kromě některých reálných experimentů prezentovaných na přednášce. Je určen výhradně ke studijním účelům. Jakékoli jiné použití, umísťování na web nebo jiné šíření souboru nebo jednotlivých snímků je možné jen s výslovným souhlasem autorů.

Slajdy nejsou samostatným studijním materiélem, nahrazují přednášku a nepokrývají všechny požadavky ke zkoušce.

# Důležité informace

- Rozsah: LS, 2+0, Zk
- <http://zoi.utia.cz/teaching>
- Moment homepage  
<http://staff.utia.cas.cz/zitova/tutorial/icip07.html>

# Předcházející předměty

- ROZ 1, 2 (FJFI)
- DZO (MFF)

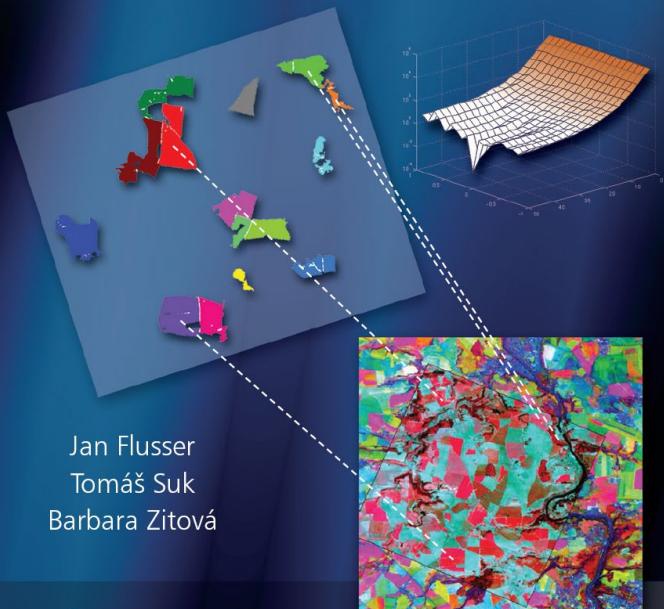
# Souběžné a navazující předměty

- Speciální seminář NPGR022 (MFF)
- Variační metody NPGR029 (MFF)

# Hlavní části

- Waveletová transformace (B. Zitová)
- Momentové funkce (J. Flusser)

# Moments and Moment Invariants in Pattern Recognition



# The Textbook

Wiley, London, 2009

[http://zoi.utia.cas.cz/moment\\_invariants](http://zoi.utia.cas.cz/moment_invariants)

# Object recognition

**Recognition (classification) = assigning a pattern/object to one of pre-defined classes**

**The object is described by its features**

**Features – measurable quantities, usually form an  $n$ -D vector in a metric space**

# Problem formulation

Non-ideal imaging conditions →  
degradation of the image

$$g = D(f)$$

$D$  - unknown degradation operator

# Basic approaches

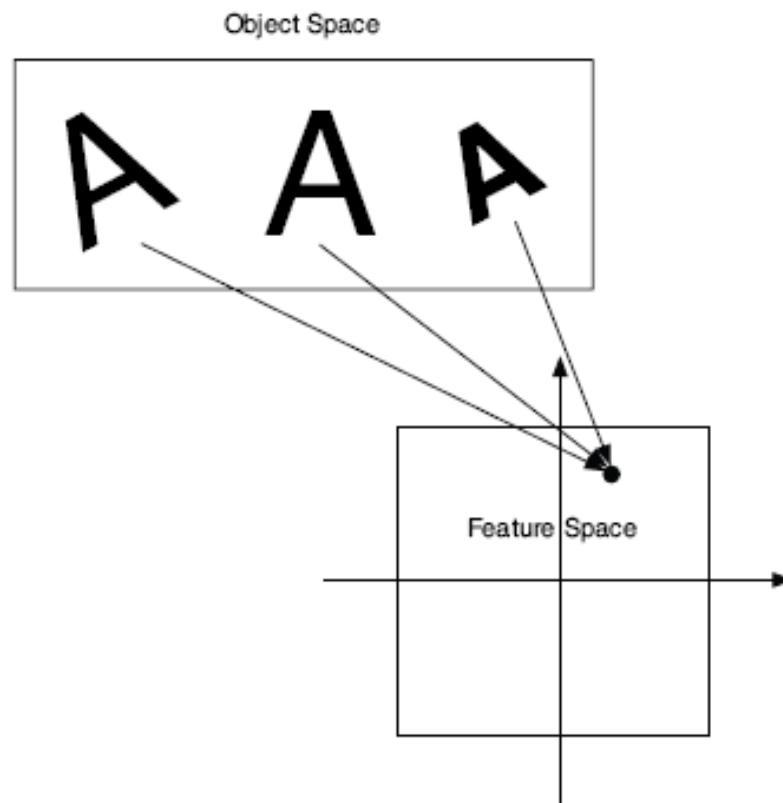
- Brute force
- Normalized position → inverse problem
- Description of the objects by **invariants**

# What are invariants?

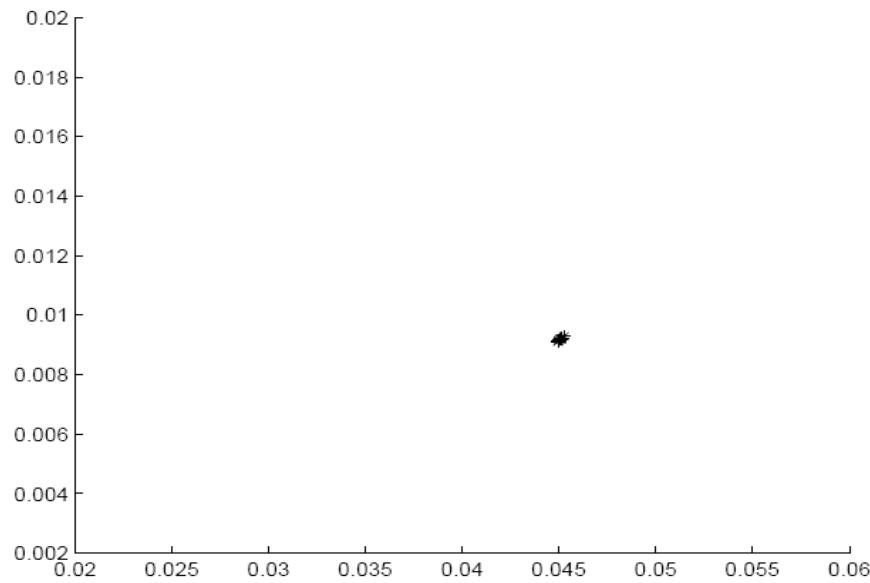
Invariants are functionals defined on the image space such that

- $I(f) = I(D(f))$  for all admissible  $D$

# What are invariants?



# Example: TRS

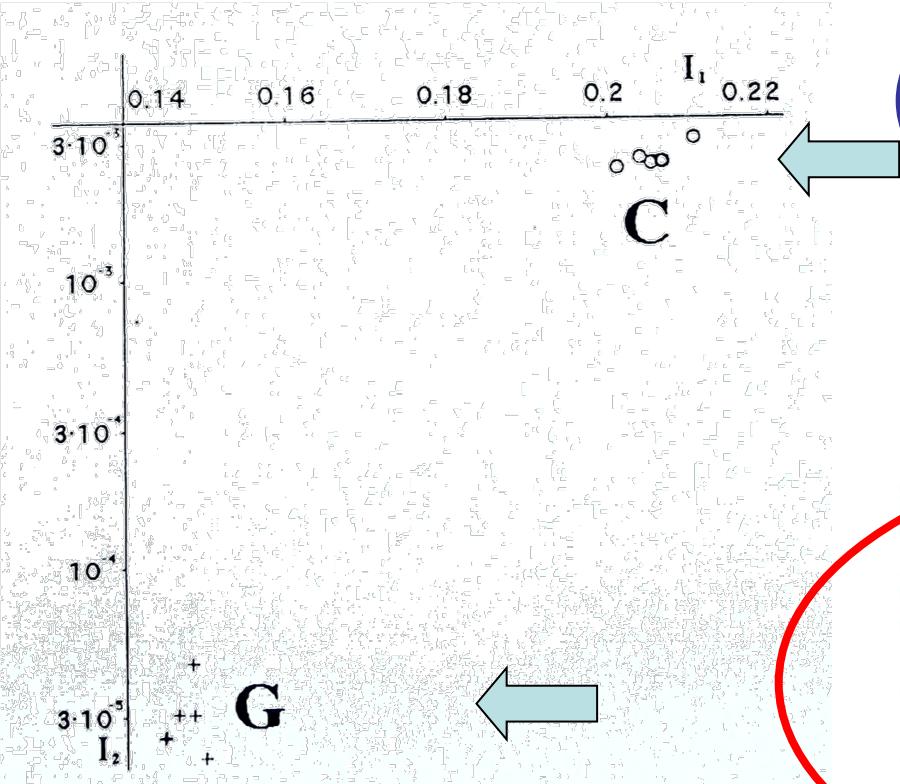


# What are invariants?

Invariants are functionals defined on the image space such that

- $I(f) = I(D(f))$  for all admissible  $D$
- $I(f_1), I(f_2)$  “different enough” for different  $f_1, f_2$

# Discrimination power



C C C C C C C C C C

G G G G G G G G G G

# **Major categories of invariants**

## **Simple shape descriptors**

- compactness, convexity, elongation, ...

## **Transform coefficient invariants**

- Fourier descriptors, wavelet features, ...

## **Point set invariants**

- positions of dominant points

## **Differential invariants**

- derivatives of the boundary

## **Moment invariants**

# What are moment invariants?

Functions of image moments, invariant to certain class of image degradations

- Rotation, translation, scaling
- Affine transform
- Elastic deformations
- Convolution/blurring
- Combined invariants

# What are moments?

Moments are “projections” of the image function into a polynomial basis

$f(x, y)$  – piecewise continuous image function defined on bounded  $\Omega \subset \mathcal{R} \times \mathcal{R}$

$\{\mathcal{P}_{pq}(x, y)\}$  – set of polynomials defined on  $\Omega$

$$M_{pq} = \iint \mathcal{P}_{pq}(x, y) f(x, y) dx dy$$

# What are moments?

Moments are not “linear-algebraic coordinates”  
of the image in the polynomial basis

$$f(x, y) \neq \sum M_{pq} \mathcal{P}_{pq}(x, y)$$

# The most common moments

## Geometric moments

$$m_{pq}^{(f)} = \int_{R^2} x^p y^q f(x, y) dx dy$$

$(p + q)$  - the order of the moment

# Geometric moments – the meaning

$$m_{pq}^{(f)} = \int_{R^2} x^p y^q f(x, y) dx dy$$

0<sup>th</sup> order - area

1<sup>st</sup> order - center of gravity

$$x_t = \frac{m_{10}}{m_{00}}, \quad y_t = \frac{m_{01}}{m_{00}}$$

2<sup>nd</sup> order - moments of inertia

3<sup>rd</sup> order - skewness

## Geometric moments

$$m_{pq}^{(f)} = \int_{R^2} x^p y^q f(x, y) dx dy$$

## Uniqueness theorem

If  $f(x, y)$  is piecewise continuous and  $\Omega$  is bounded then

$$f(x, y) \iff \{m_{pq}\} \quad p, q = 0, 1, 2, \dots, \infty$$

# Invariants to translation

## Central moments

$$\mu_{pq}^{(f)} = \int_{R^2} (x - x_t)^p (y - y_t)^q f(x, y) dx dy$$

$$x_t = m_{10}/m_{00}, \quad y_t = m_{01}/m_{00}$$



$$\begin{aligned}x' &= x + a \\y' &= y + b\end{aligned}$$

# Invariants to translation

## Central moments

$$\mu_{pq}^{(f)} = \int_{R^2} (x - x_t)^p (y - y_t)^q f(x, y) dx dy$$

$$x_t = m_{10}/m_{00}, \quad y_t = m_{01}/m_{00}$$

$$\mu_{pq} = \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{k+j} x_t^k y_t^j m_{p-k, q-j}$$

# Invariants to translation and scaling

$$x' = s \cdot x + a$$

$$y' = s \cdot y + b$$



# Invariants to translation and scaling

## Normalized central moments

$$\nu_{pq} = \frac{\mu_{pq}}{\mu_{00}^w} \quad w = \frac{p + q}{2} + 1$$



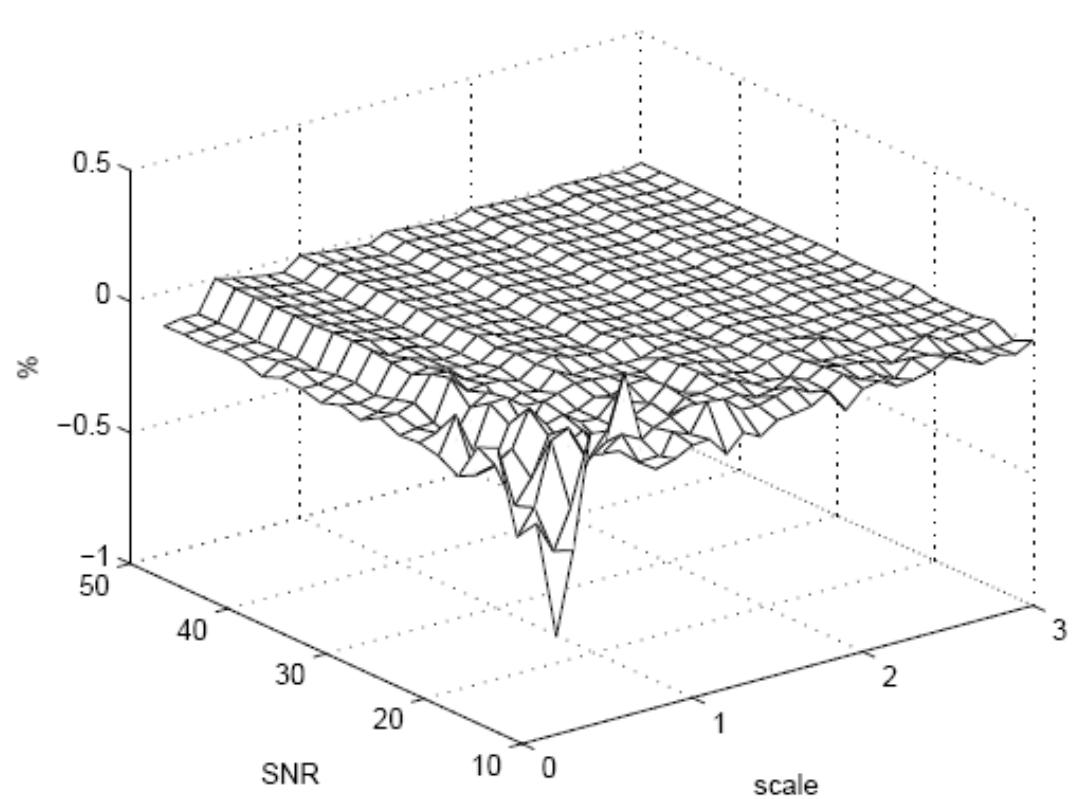
# Invariants to translation and scaling

Normalized central moments

$$\nu_{pq} = \frac{\mu_{pq}}{\mu_{00}^w} \quad w = \frac{p+q}{2} + 1$$

# Invariants to translation and scaling

## Normalized central moments



# Invariants to rotation



$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

# Invariants to rotation

M.K. Hu, 1962 - 7 invariants of the 3rd order

$$\phi_1 = \mu_{20} + \mu_{02}$$

$$\phi_2 = (\mu_{20} - \mu_{02})^2 + 4\mu_{11}^2$$

$$\phi_3 = (\mu_{30} - 3\mu_{12})^2 + (3\mu_{21} - \mu_{03})^2$$

$$\phi_4 = (\mu_{30} + \mu_{12})^2 + (\mu_{21} + \mu_{03})^2$$

$$\begin{aligned}\phi_5 &= (\mu_{30} - 3\mu_{12})(\mu_{30} + \mu_{12})((\mu_{30} + \mu_{12})^2 - 3(\mu_{21} + \mu_{03})^2) \\ &\quad + (3\mu_{21} - \mu_{03})(\mu_{21} + \mu_{03})(3(\mu_{30} + \mu_{12})^2 - (\mu_{21} + \mu_{03})^2)\end{aligned}$$

$$\begin{aligned}\phi_6 &= (\mu_{20} - \mu_{02})((\mu_{30} + \mu_{12})^2 - (\mu_{21} + \mu_{03})^2) + \\ &\quad 4\mu_{11}(\mu_{30} + \mu_{12})(\mu_{21} + \mu_{03})\end{aligned}$$

$$\begin{aligned}\phi_7 &= (3\mu_{21} - \mu_{03})(\mu_{30} + \mu_{12})((\mu_{30} + \mu_{12})^2 - 3(\mu_{21} + \mu_{03})^2) \\ &\quad - (\mu_{30} - 3\mu_{12})(\mu_{21} + \mu_{03})(3(\mu_{30} + \mu_{12})^2 - (\mu_{21} + \mu_{03})^2)\end{aligned}$$

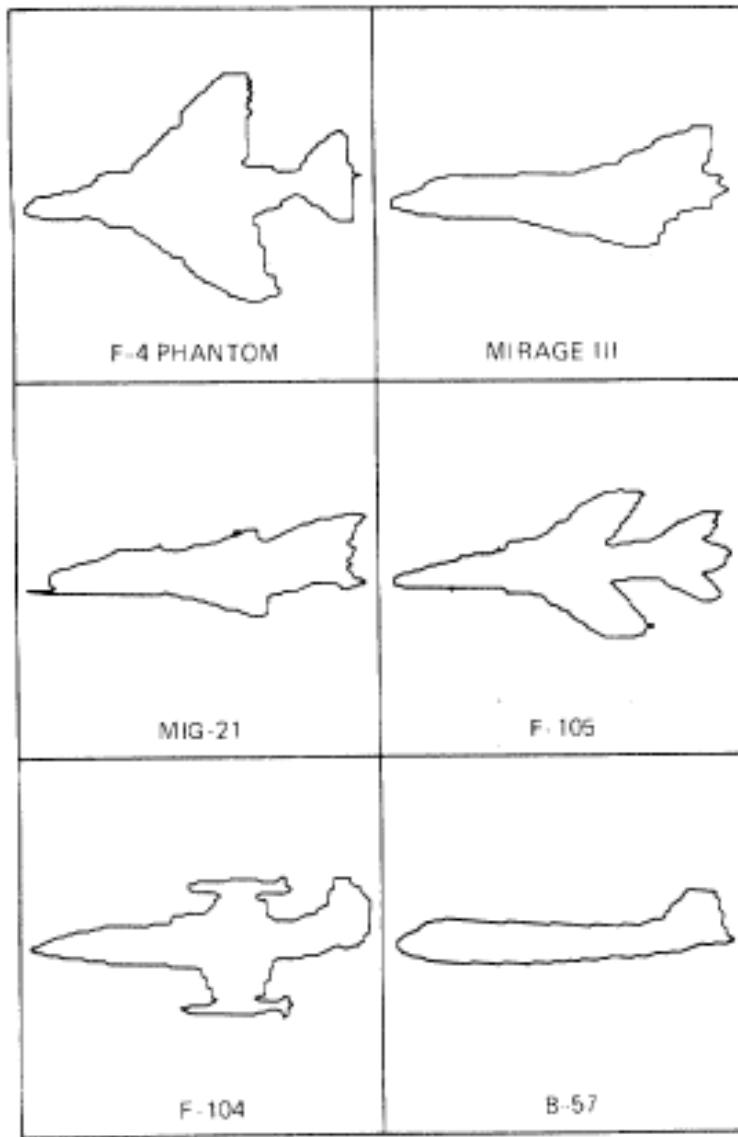
**Hard to find, easy to prove:**

$$\mu'_{20} = \cos^2 \theta \cdot \mu_{20} + \sin^2 \theta \cdot \mu_{02} - \sin 2\theta \cdot \mu_{11}$$

$$\mu'_{02} = \sin^2 \theta \cdot \mu_{20} + \cos^2 \theta \cdot \mu_{02} + \sin 2\theta \cdot \mu_{11}$$

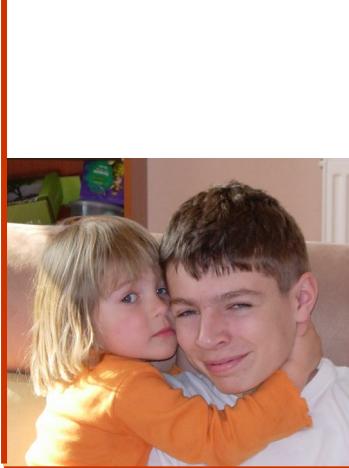
$$\mu'_{11} = \frac{1}{2} \sin 2\theta \cdot (\mu_{20} - \mu_{02}) + \cos 2\theta \cdot \mu_{11}$$

# Aircraft recognition (Dudani et al., 1977)



# Invariants to TRS

In any rotation invariant use the normalized moments instead of central ones



# Drawbacks of the Hu's invariants

**Dependence**

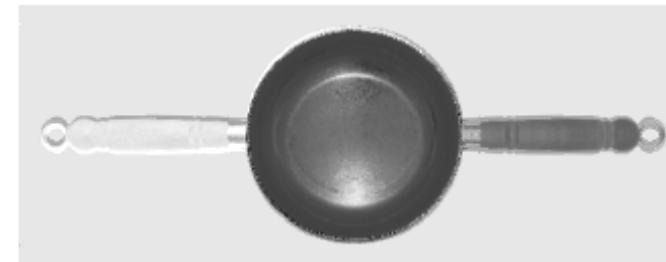
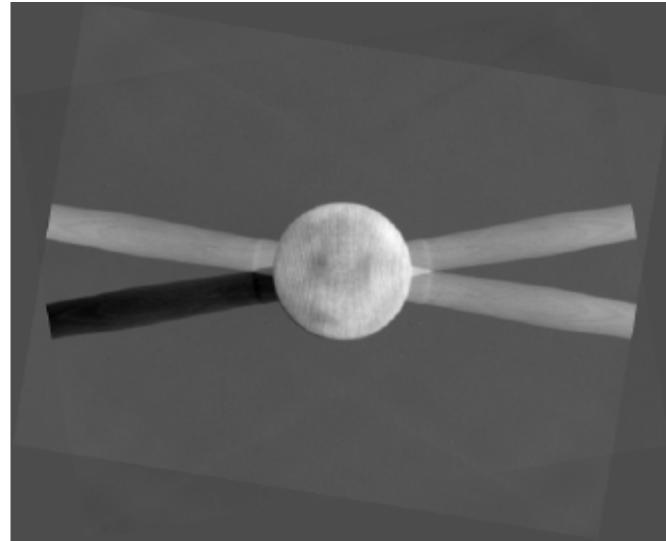
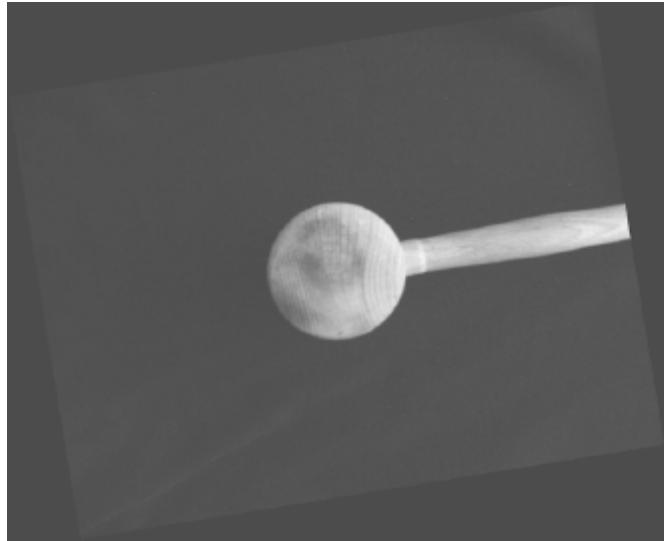
$$\phi_3 = \frac{\phi_5^2 + \phi_7^2}{\phi_4^3}$$

**Incompleteness**

$$m_{11}^2 = \frac{1}{4}(\phi_2 - (\frac{\phi_6}{\phi_4})^2)$$

**Insufficient number → low discriminability**

# Consequence of the incompleteness of the Hu's set



The images not distinguishable by the Hu's set

# General construction of rotation invariants

## Complex moment

$$c_{pq}^{(f)} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (x + iy)^p (x - iy)^q f(x, y) dx dy$$

# Basic relations between the moments

$$c_{pq} = \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{q-j} \cdot i^{p+q-k-j} \cdot m_{k+j, p+q-k-j}$$

$$m_{pq} = \frac{1}{2^{p+q} i^q} \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{q-j} \cdot c_{k+j, p+q-k-j}$$

$$c_{qp} = c_{pq}^*$$

## Examples

$$c_{00} = m_{00}$$

$$c_{10} = m_{10} + im_{01}$$

$$c_{20} = m_{20} - m_{02} + 2im_{11}$$

$$c_{11} = m_{20} + m_{02}$$

# Complex moments in polar coordinates

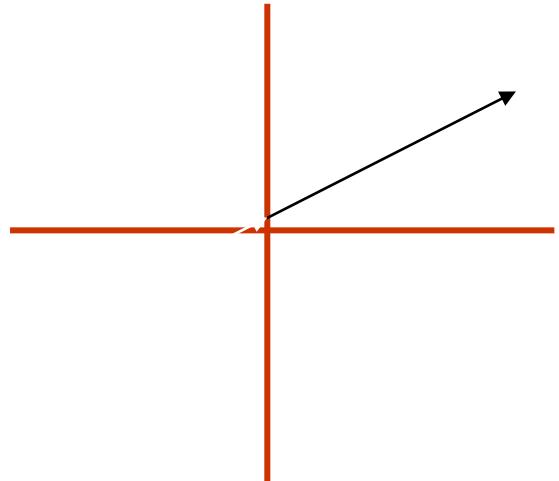
$$c_{pq}^{(f)} = \int_0^\infty \int_0^{2\pi} r^{p+q+1} e^{i(p-q)\theta} f(r, \theta) d\theta dr.$$

# Rotation property of complex moments



$$f'(r, \theta) = f(r, \theta + \alpha)$$

$$c'_{pq} = e^{-i(p-q)\alpha} \cdot c_{pq}$$



The magnitude is preserved, the phase is shifted by  $(p-q)\alpha$ .

Invariants are constructed by a proper phase cancellation

# Rotation invariants from complex moments

$$I = \prod_{i=1}^n c_{p_i q_i}^{k_i} \quad \sum_{i=1}^n k_i(p_i - q_i) = 0$$

Examples:

$$c_{11}, c_{20} \cdot c_{02}, c_{20} \cdot c_{12}^2, \dots, c_{pp}, c_{pq} \cdot c_{qp}, \dots$$

How to select a complete and independent subset (basis) of the rotation invariants?

# Construction of the basis

$$\forall p, q : \quad \Phi(p, q) \equiv c_{pq} c_{q_0 p_0}^{p-q}$$

$$p + q \leq r$$

$$p \geq q$$

$$p_0 + q_0 \leq r$$

$$p_0 - q_0 = 1$$

$$c_{p_0 q_0} \neq 0$$

This is the basis of invariants up to the order  $r$

## The basis of the 3rd order

$$p_0 = 2, q_0 = 1$$

$$\Phi(1, 1) = c_{11}$$

$$\Phi(2, 1) = c_{21}c_{12}$$

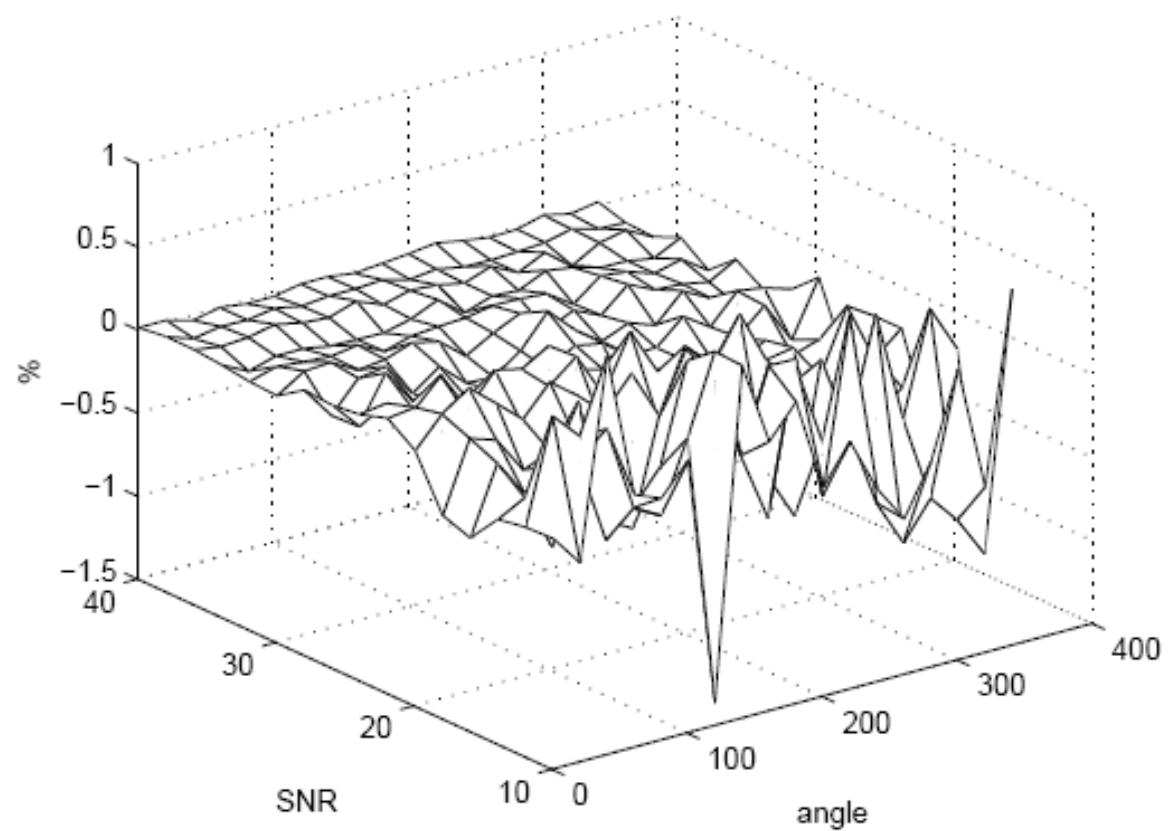
$$\Phi(2, 0) = c_{20}c_{12}^2$$

$$\Phi(3, 0) = c_{30}c_{12}^3$$

This is basis  $B_3$  (contains six real elements)

# Numerical properties

$$\Phi(2,0) = c_{20}c_{12}^2$$



## Comparing $B_3$ to the Hu's set

$$\phi_1 = c_{11}$$

$$\phi_2 = c_{20}c_{02}$$

$$\phi_3 = c_{30}c_{03}$$

$$\phi_4 = c_{21}c_{12}$$

$$\phi_5 = \text{Re}(c_{30}c_{12}^3)$$

$$\phi_6 = \text{Re}(c_{20}c_{12}^2)$$

$$\phi_7 = \text{Im}(c_{30}c_{12}^3)$$

**Drawbacks of the Hu's invariants are evident now**

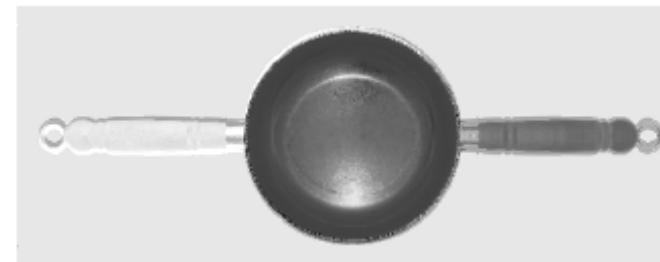
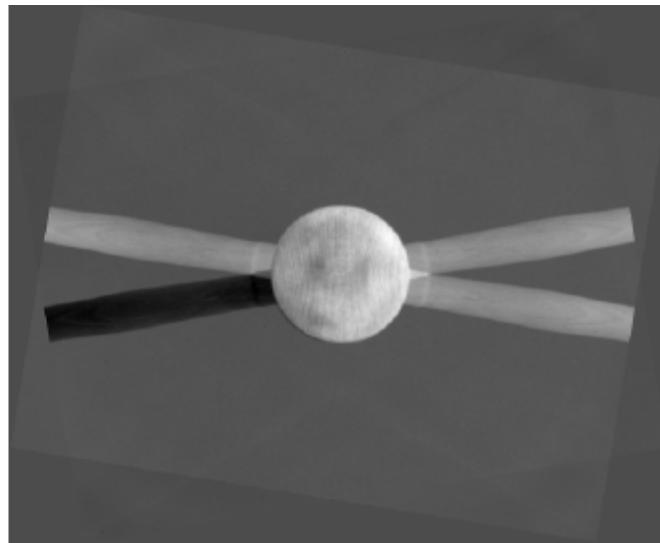
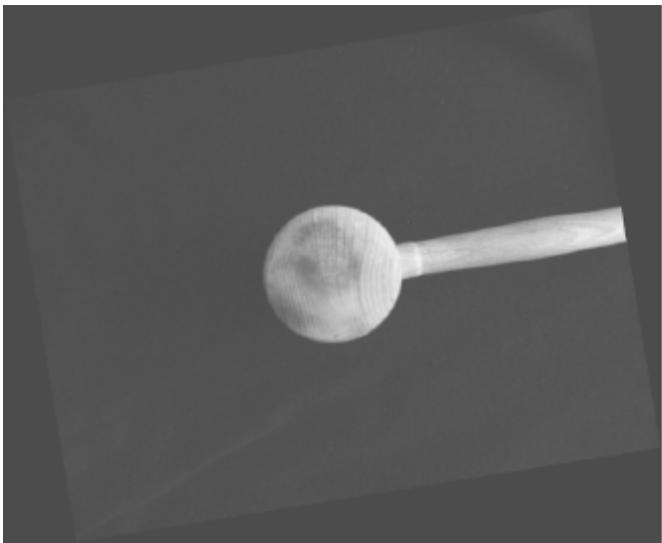
**Dependence**

$$\phi_3 = \frac{\phi_5^2 + \phi_7^2}{\phi_4^3}$$

**Incompleteness**

$$m_{11}^2 = \frac{1}{4}(\phi_2 - (\frac{\phi_6}{\phi_4})^2)$$

# Comparing $B_3$ to the Hu's set - Experiment



The images distinguishable by  $B_3$  but not by Hu's set

# Inverse problem

$$\Phi(p_0, q_0) = c_{p_0 q_0} c_{q_0 p_0}$$

$$\Phi(0, 0) = c_{00}$$

$$\Phi(1, 0) = c_{10} c_{q_0 p_0}$$

$$\Phi(2, 0) = c_{20} c_{q_0 p_0}^2$$

$$\Phi(1, 1) = c_{11}$$

$$\Phi(3, 0) = c_{30} c_{q_0 p_0}^3$$

...

$$\Phi(r, 0) = c_{r0} c_{q_0 p_0}^r$$

$$\Phi(r - 1, 1) = c_{r-1,1} c_{q_0 p_0}^{r-2}$$

...

Is it possible to resolve this system ?

# Solution to the inverse problem

The image orientation cannot be recovered in principle → one degree of freedom → let us constrain  $c_{p_0q_0}$  to be **real and positive**. Then

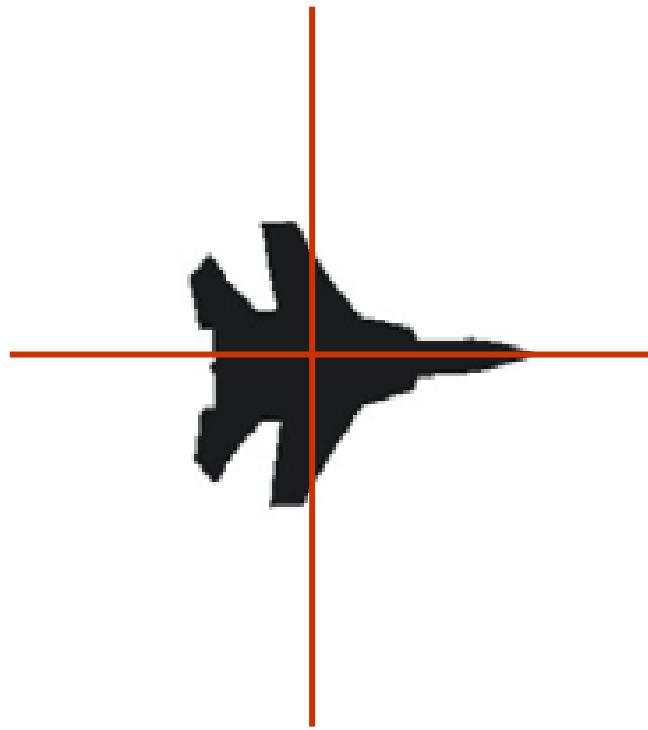
$$c_{p_0q_0} = \sqrt{\Phi(p_0, q_0)}$$

$$c_{pq} = \frac{\Phi(p, q)}{c_{q_0p_0}^{p-q}}$$

# TSR normalization using moments



Constraints on certain moments



# Normalized position to scaling

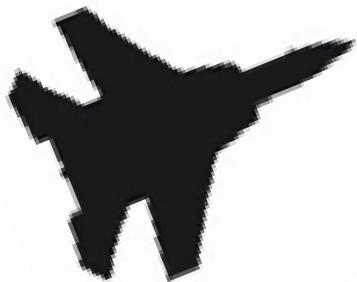


Constraint

$$m'_{00} = 1$$



# Normalized position to translation



Constraints

$$m'_{10} = 0$$

$$m'_{01} = 0$$



# Normalized position to rotation



Constraint

$$\mu_{11}^{'''} = 0$$

Rotation angle



$$\tan 2\theta = \frac{-2\mu_{11}}{\mu_{20} - \mu_{02}}$$

# Removing ambiguity



Additional constraints

$$\mu'_{20} \geq \mu'_{02}$$

$$\mu'_{30} \geq 0$$

# Moments after normalization

$$\mu'_{20} \equiv \lambda_1 = [(\mu_{20} + \mu_{02}) + \sqrt{(\mu_{20} - \mu_{02})^2 + 4\mu_{11}^2}] / 2$$

$$\mu'_{02} \equiv \lambda_2 = [(\mu_{20} + \mu_{02}) - \sqrt{(\mu_{20} - \mu_{02})^2 + 4\mu_{11}^2}] / 2$$

The moments of the normalized image  
are invariants. Compare to the Hu's set !

# Moments after normalization

$$\mu'_{20} = (\phi_1 + \sqrt{\phi_2})/2$$

$$\mu'_{02} = (\phi_1 - \sqrt{\phi_2})/2$$

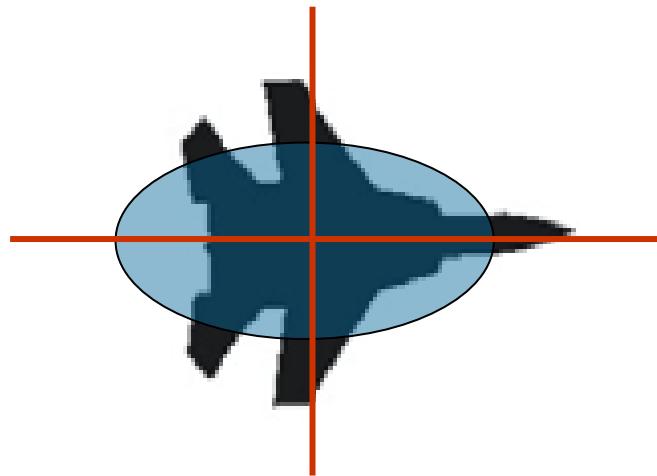
An alternative approach to constructing  
invariants

# Reference ellipse

An ellipse having the same 2<sup>nd</sup> order moments as the original object

$$\mu'_{20} = \frac{\pi a^3 b}{4}$$

$$\mu'_{02} = \frac{\pi a b^3}{4}$$



$a, b$  – major/minor semiaxis

# Normalization as an eigenproblem

$$M = \begin{pmatrix} \mu_{20} & \mu_{11} \\ \mu_{11} & \mu_{02} \end{pmatrix}$$

$$M' = G^T M G = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} = \begin{pmatrix} \mu'_{20} & 0 \\ 0 & \mu'_{02} \end{pmatrix}$$

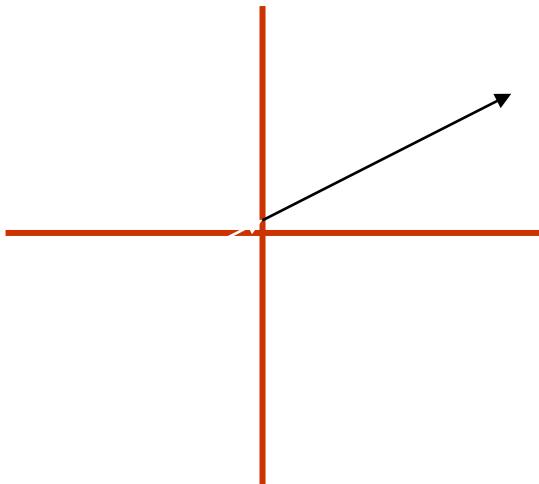
$$|M - \lambda I| = 0$$

# Normalization by complex moments

## Constraint

$c_{st}$  real and positive  $\Rightarrow$

$$\alpha = \frac{1}{s - t} \cdot \arctan \left( \frac{\text{Im}c_{st}}{\text{Re}c_{st}} \right)$$



# Normalization by complex moments

## Constraint

$c_{st}$  real and positive  $\Rightarrow$

$$\alpha = \frac{1}{s - t} \cdot \arctan \left( \frac{\text{Im}c_{st}}{\text{Re}c_{st}} \right)$$

If  $s = 2, t = 0 \rightarrow$  traditional normalization

$$c_{20} = m_{20} - m_{02} + 2im_{11}$$

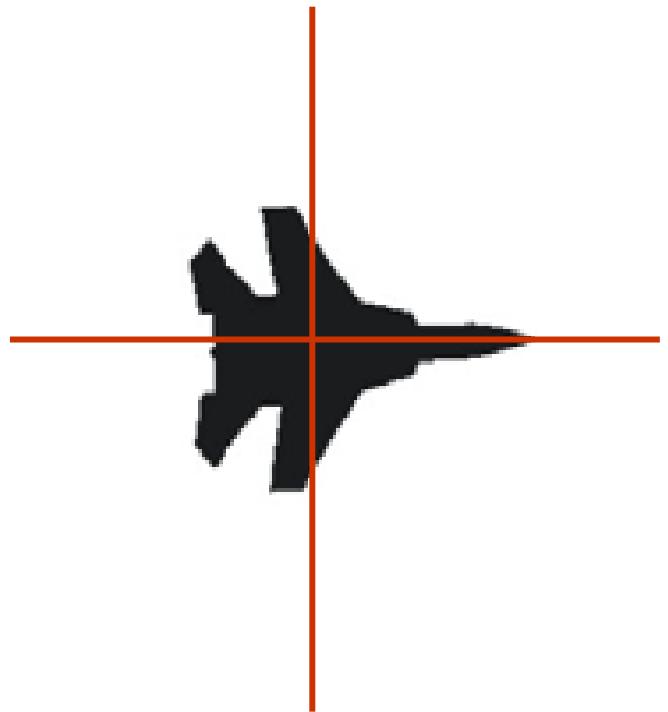
# Normalization by complex moments

Constraint

$c_{st}$  real and positive  $\implies$

Unambiguous only if  $s - t = 1$

An example:  $s = 6, t = 0$



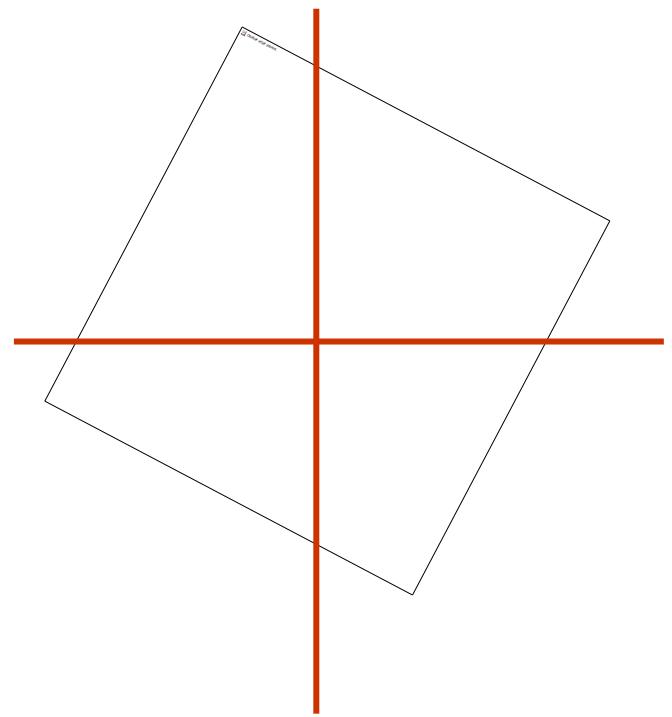
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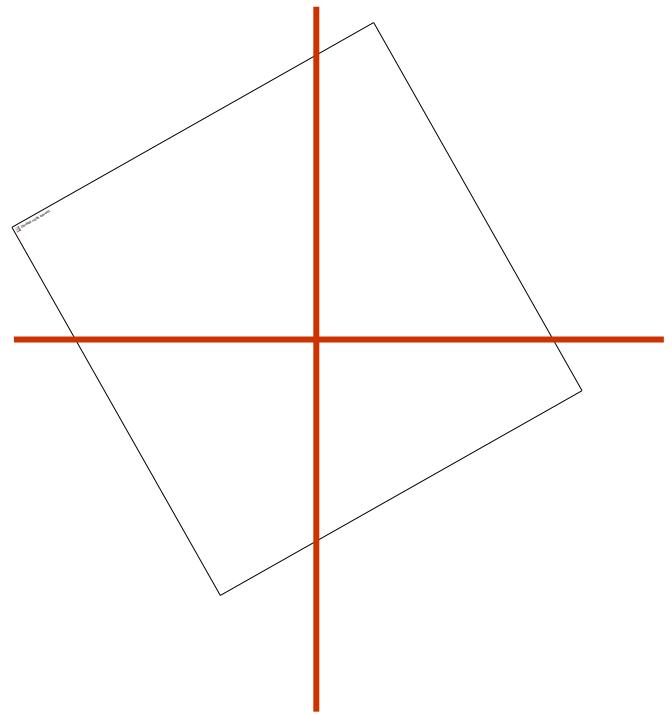
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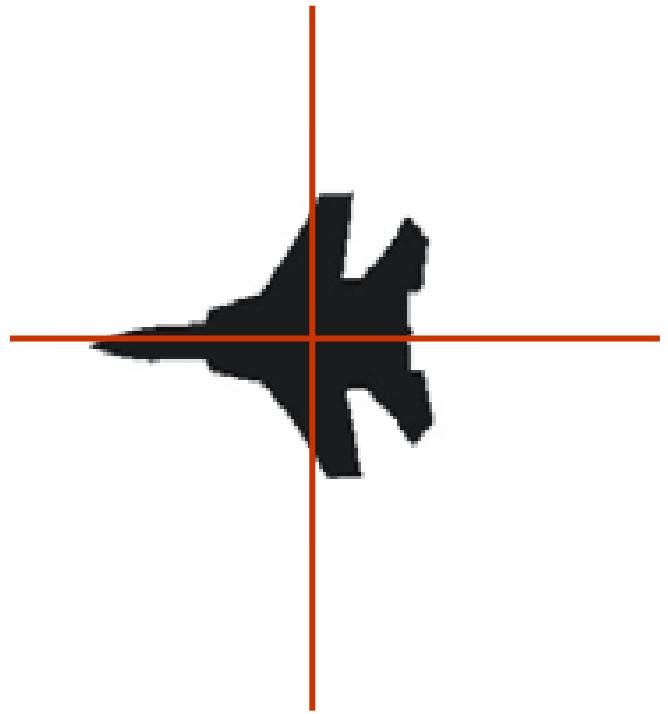
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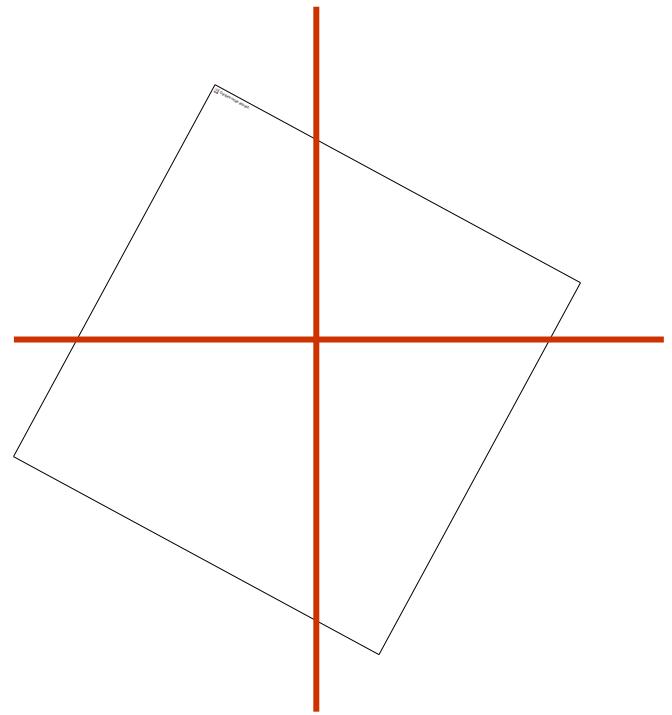
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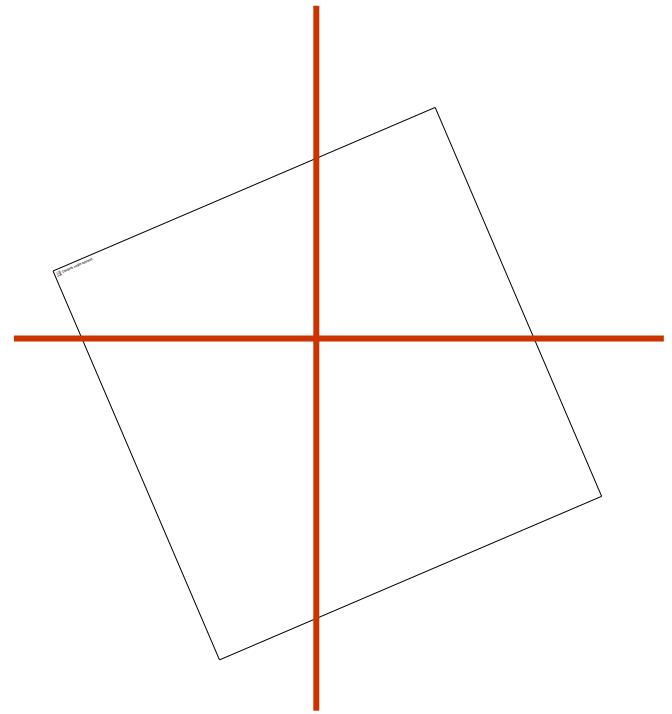
# Normalization by complex moments

Constraint

$c_{st}$  real and positive  $\Rightarrow$

Unambiguous only if  $s - t = 1$

An example:  $s = 6, t = 0$



# Rotation invariants via normalization

- Theoretically equivalent to the previous construction
- Leads to different basis (more complicated formulas)
- No need to actually transform the object

# Pseudoinvariants

How do the rotation invariants  
behave under mirror reflection?

$$\overline{f}(x, y) = f(x, -y)$$



# Pseudoinvariants

How do the rotation invariants  
behave under mirror reflection?

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# Pseudoinvariants

How do the rotation invariants  
behave under mirror reflection?

$$\overline{f}(x, y) = f(x, -y)$$

$$\overline{c_{pq}} = c_{pq}^*$$



$$\overline{\Phi(p, q)} = \overline{c_{pq} c_{q_0 p_0}^{p-q}} = c_{pq}^* \cdot (c_{q_0 p_0}^*)^{p-q} = \Phi(p, q)^*$$

# Aspect-ratio invariants

$$x' = a \cdot x$$

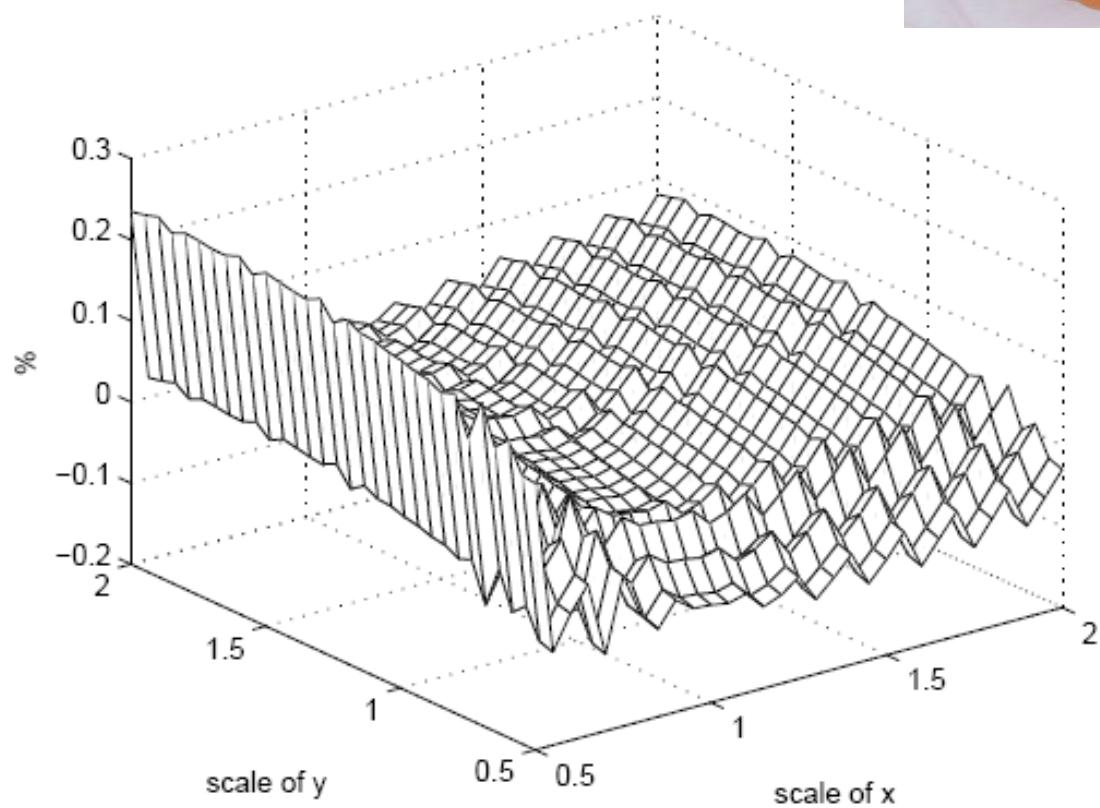
$$y' = b \cdot y$$



$$\mu'_{pq} = a^{p+1} b^{q+1} \mu_{pq}$$

$$A_{pq} = \frac{\mu_{00}^{(p+q+2)/2}}{\mu_{20}^{(p+1)/2} \cdot \mu_{02}^{(q+1)/2}} \cdot \mu_{pq}$$

# Aspect-ratio invariants



# Invariants to contrast changes

$$f'(x, y) = a \cdot f(x, y)$$



$$\mu'_{pq} = a \cdot \mu_{pq}$$

$$C_{pq} = \frac{\mu_{pq}}{\mu_{00}}$$

# Invariants to contrast and TRS

$$\Phi'(p, q) = a^{p-q+1} \Phi(p, q)$$

$$\widetilde{c_{pq}} = \frac{c_{pq}}{\mu_{00}^{\frac{p+q}{2} + 1}}$$

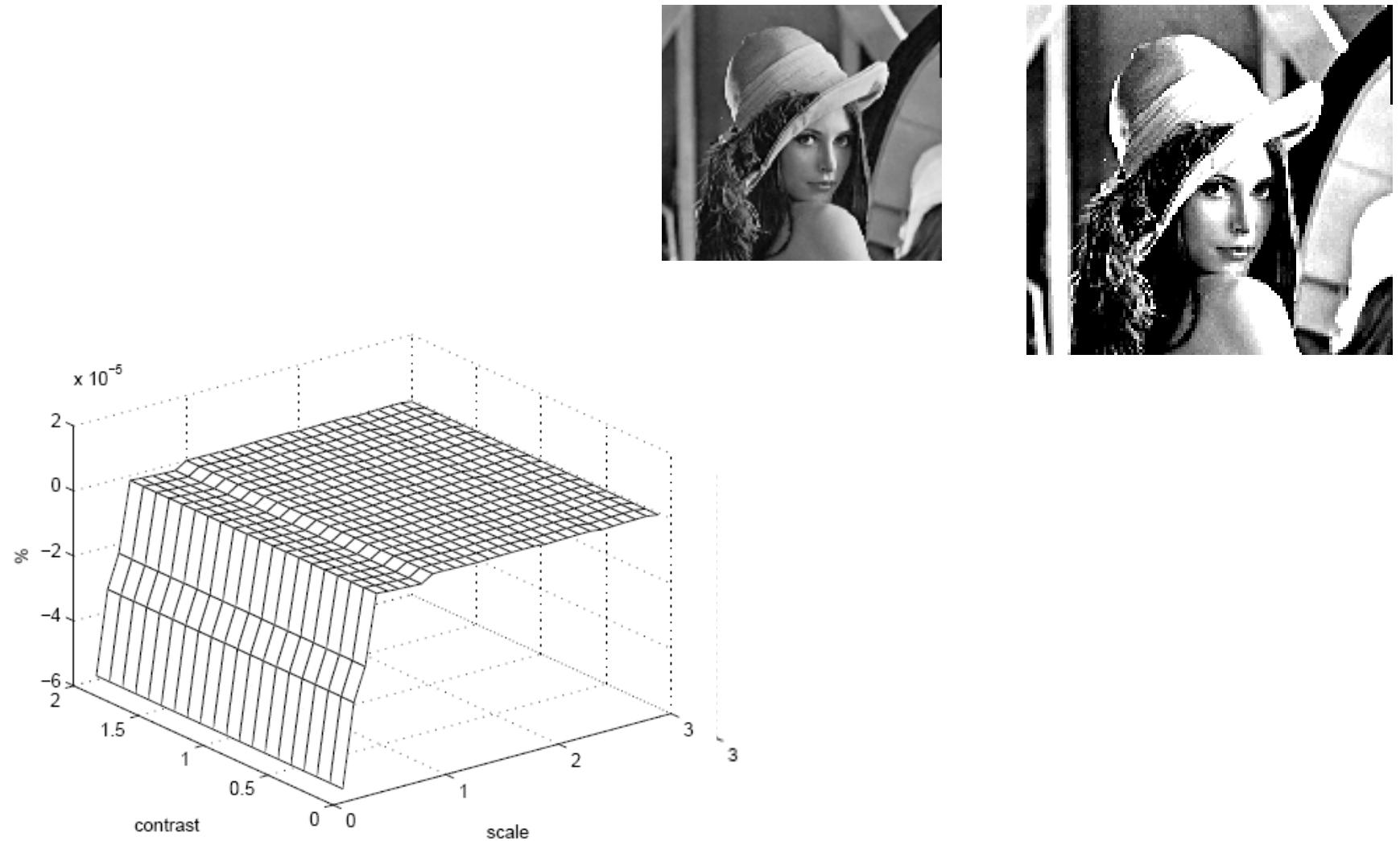
$$\widetilde{c_{pq}}' = a^{-\frac{p+q}{2}} \widetilde{c_{pq}}$$



$$\Gamma(p, q) = \frac{\widetilde{\Phi(p, q)}}{|\widetilde{c_{pq}}| \cdot \widetilde{\Phi(p_0, q_0)}^{\frac{p-q}{2}}}$$

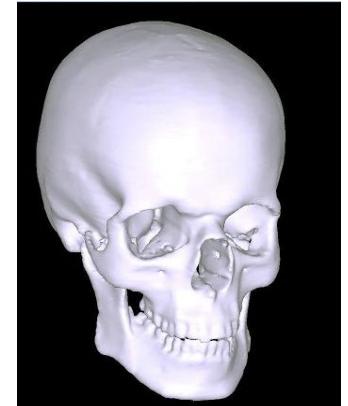
$$\widetilde{\Phi(p, q)}' = a^{-\frac{(p_0+q_0)(p-q)+(p+q)}{2}} \widetilde{\Phi(p, q)}$$

# Invariants to contrast and TRS



# TRS invariants in 3D

Important in medical imaging  
(MRI, CT, ...) and stereovision



3D geometric moments

$$m_{pqrs} = \iiint x^p y^q z^s f(x, y, z) dx dy dz$$

# TRS invariants in 3D

3D central moments

$$\mu_{pqs} = \iiint (x-x_t)^p (y-y_t)^q (z-z_t)^s f(x, y, z) dx dy dz$$

3D scale-normalized moments

$$\nu_{pqs} = \frac{\mu_{pqs}}{\mu_{000}^w} \quad w = \frac{p+q+s}{3} + 1$$

# TRS invariants in 3D

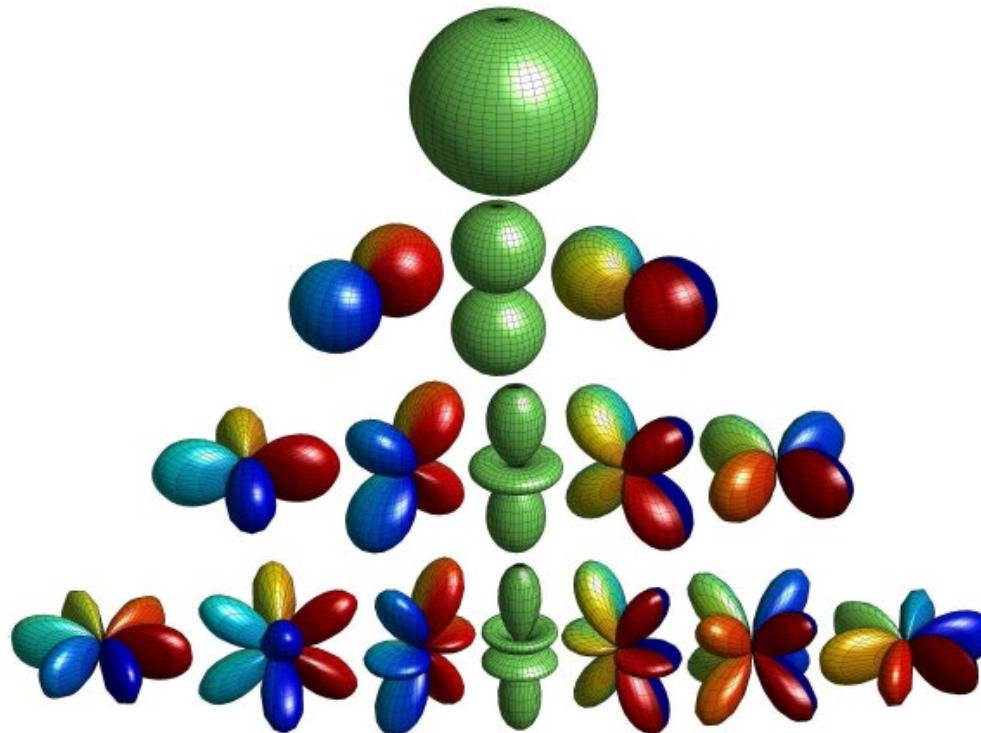
Simple 3D rotation invariants

$$\phi_1 = \mu_{200} + \mu_{020} + \mu_{002}$$

$$\begin{aligned}\phi_2 = & \mu_{020}\mu_{002} + \mu_{200}\mu_{002} + \mu_{200}\mu_{020} \\ & - (\mu_{011}^2 + \mu_{101}^2 + \mu_{110}^2)\end{aligned}$$

# TRS invariants in 3D

Theory based on spherical harmonics



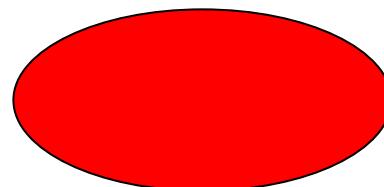
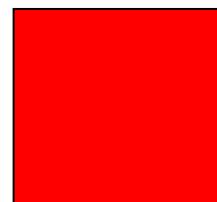
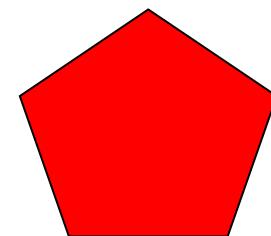
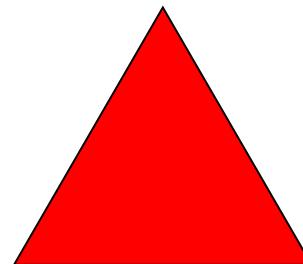
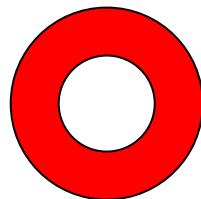
# Documented applications of TRS moment invariants

- Recognition of aircraft and ship silhouettes
- Character/digit/symbol recognition
- Recognition of components on an assembly belt
- Image registration (medical, satellite, ...)
- Normalization of database images

# Back to the rotation invariants in 2D

$$I = \prod_{i=1}^n c_{p_i q_i}^{k_i} \quad \sum_{i=1}^n k_i(p_i - q_i) = 0$$

Recognizing symmetric objects



## **$N$ -fold rotation symmetry**

$N$ -fold rotation symmetry: the object repeats itself when rotating by  $2\pi j/N$  for all  $j = 1, \dots, N$ .

## Examples of $N$ -fold RS

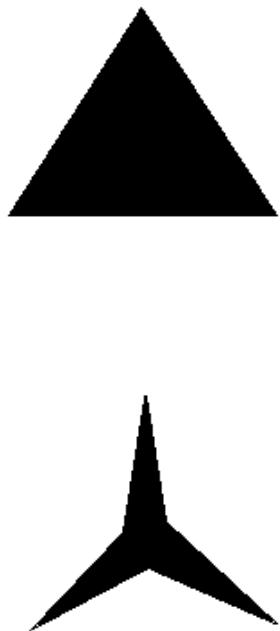
$N=1$



$N=2$



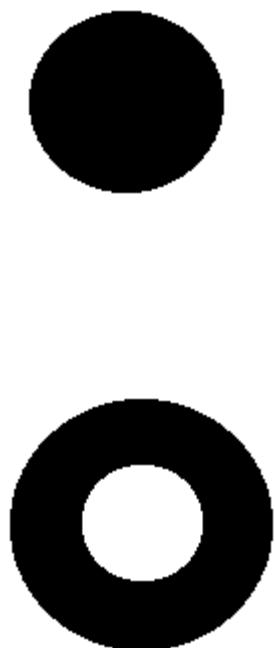
$N=3$



$N=4$



$N=\infty$



# Qestion: $N$ -fold symmetry versus axial symmetry

Axial symmetry  $S \rightarrow N$ -fold symmetry,  
 $S=N$

$N$ -fold symmetry  $\rightarrow$  no axial symmetry  
or  $S=N$

## Difficulties with symmetric objects

Many moments and many invariants are zero

If  $f(x, y)$  has  $N$ -fold rotation symmetry then

$$c_{pq} = 0$$

for every  $p, q$  such that  $(p - q)/N$  is not an integer.

## Proof

$$c'_{pq} = e^{-i(p-q)\alpha} \cdot c_{pq}$$

$$\alpha = 2\pi/N$$

$$c'_{pq} = e^{\frac{-2\pi i(p-q)}{N}} \cdot c_{pq}$$

## Proof (continued)

$$c'_{pq} = c_{pq} \quad \Rightarrow \quad c_{pq} = 0 \quad \vee \quad e^{\frac{-2\pi i(p-q)}{N}} = 1$$

If  $(p-q)/N$  is not an integer then  $e^{\frac{-2\pi i(p-q)}{N}} \neq 1$

$$\Rightarrow \quad c_{pq} = 0 \quad \square$$

# Difficulties with symmetric objects

The greater  $N$ , the less nontrivial invariants

Particularly

- $N = 1$  (no symmetry)  $\Rightarrow$  previous case
- $N = 2$  (central symmetry)  $\Rightarrow$  only even-order invariants exist
- $N = \infty$  (circular symmetry)  $\Rightarrow$  only  $\Phi(p, p) \equiv c_{pp}$

# Difficulties with symmetric objects

It is very important to use only non-trivial invariants

The choice of appropriate invariants (basis of invariants) depends on  $N$

# The basis for $N$ -fold symmetric objects

Generalization of the theorem about the basis

$$\forall p, q : \quad \Phi(p, q) \equiv c_{pq} c_{q_0 p_0}^k$$

$k = (p - q)/N$  is an integer

$$p + q \leq r$$

$$p \geq q$$

$$p_0 + q_0 \leq r$$

$$p_0 - q_0 = N$$

$$c_{p_0 q_0} \neq 0$$

$\langle \mathcal{B} \rangle$  – all rotation invariants generated from  $\mathcal{B}$

- $M, N$  finite,  $L$  – least common multiple

$$\langle \mathcal{B}_M \rangle \cap \langle \mathcal{B}_N \rangle = \langle \mathcal{B}_L \rangle$$

If  $M/N$  is integer then  $\langle \mathcal{B}_M \rangle \subset \langle \mathcal{B}_N \rangle$

- 

$$\bigcap_{N=1}^{\infty} \langle \mathcal{B}_N \rangle = \langle \mathcal{B}_{\infty} \rangle$$

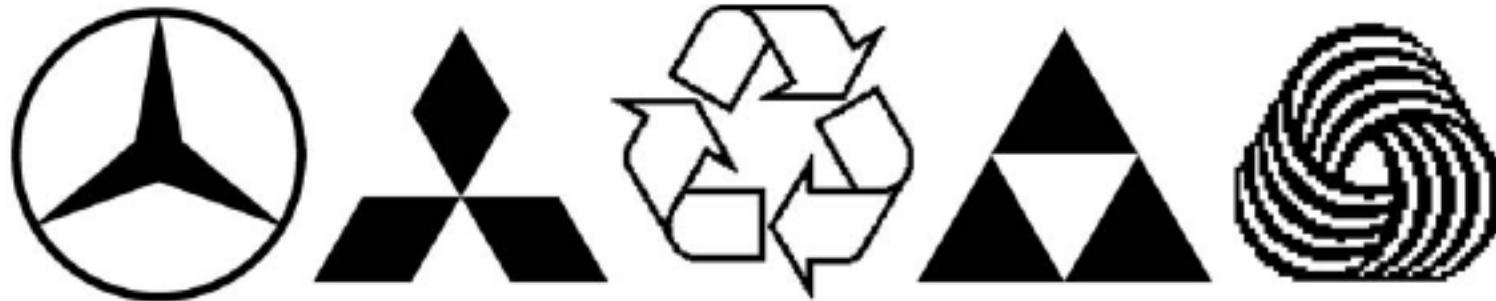
- 

$$|\mathcal{B}_N| = \sum_{j=0}^n \left[ \frac{r - jN + 2}{2} \right]$$

where  $n = [r/N]$

$$|\mathcal{B}_{\infty}| = \left[ \frac{r + 2}{2} \right]$$

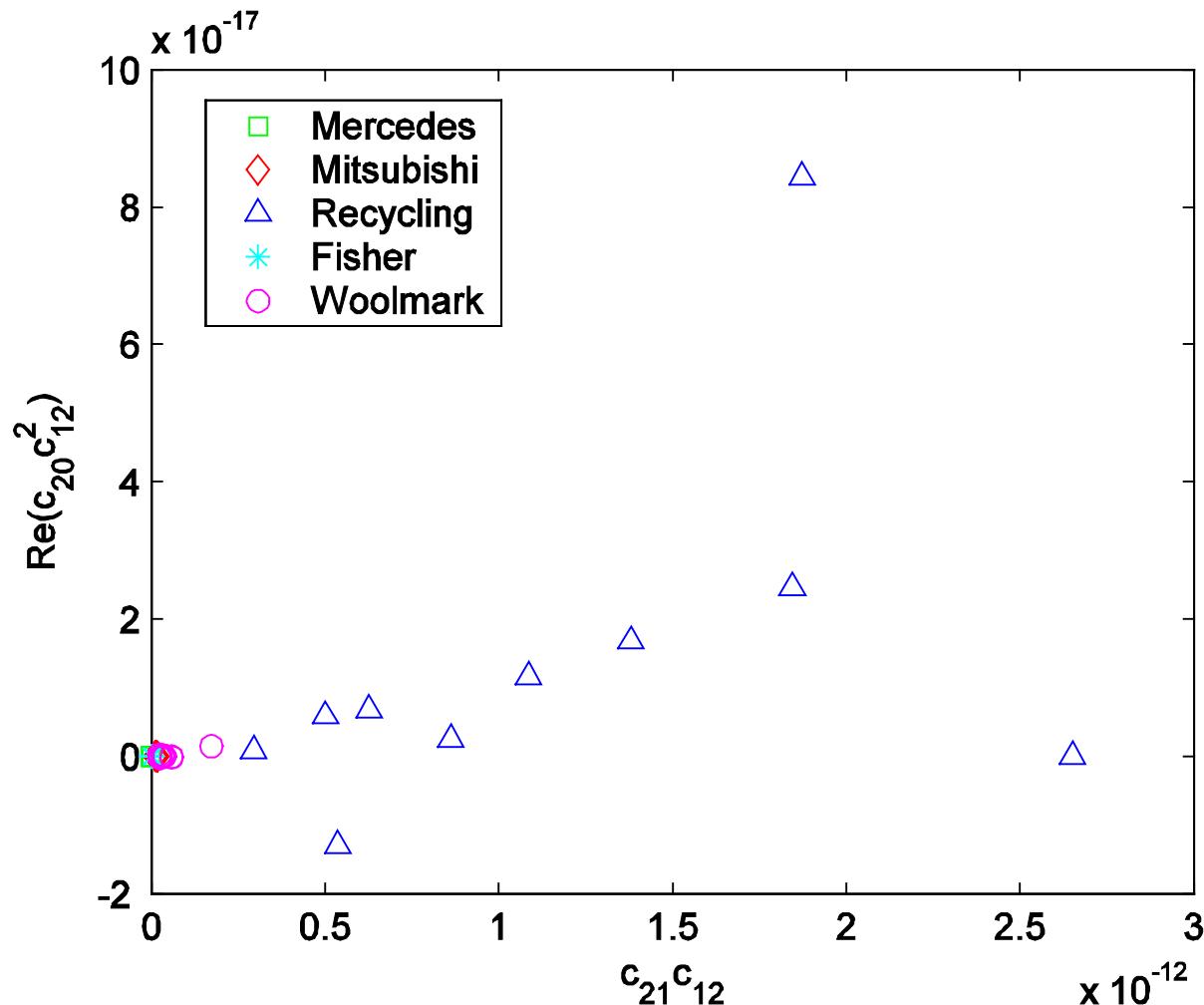
# Recognition of symmetric objects – Experiment 1



5 objects with  $N= 3$

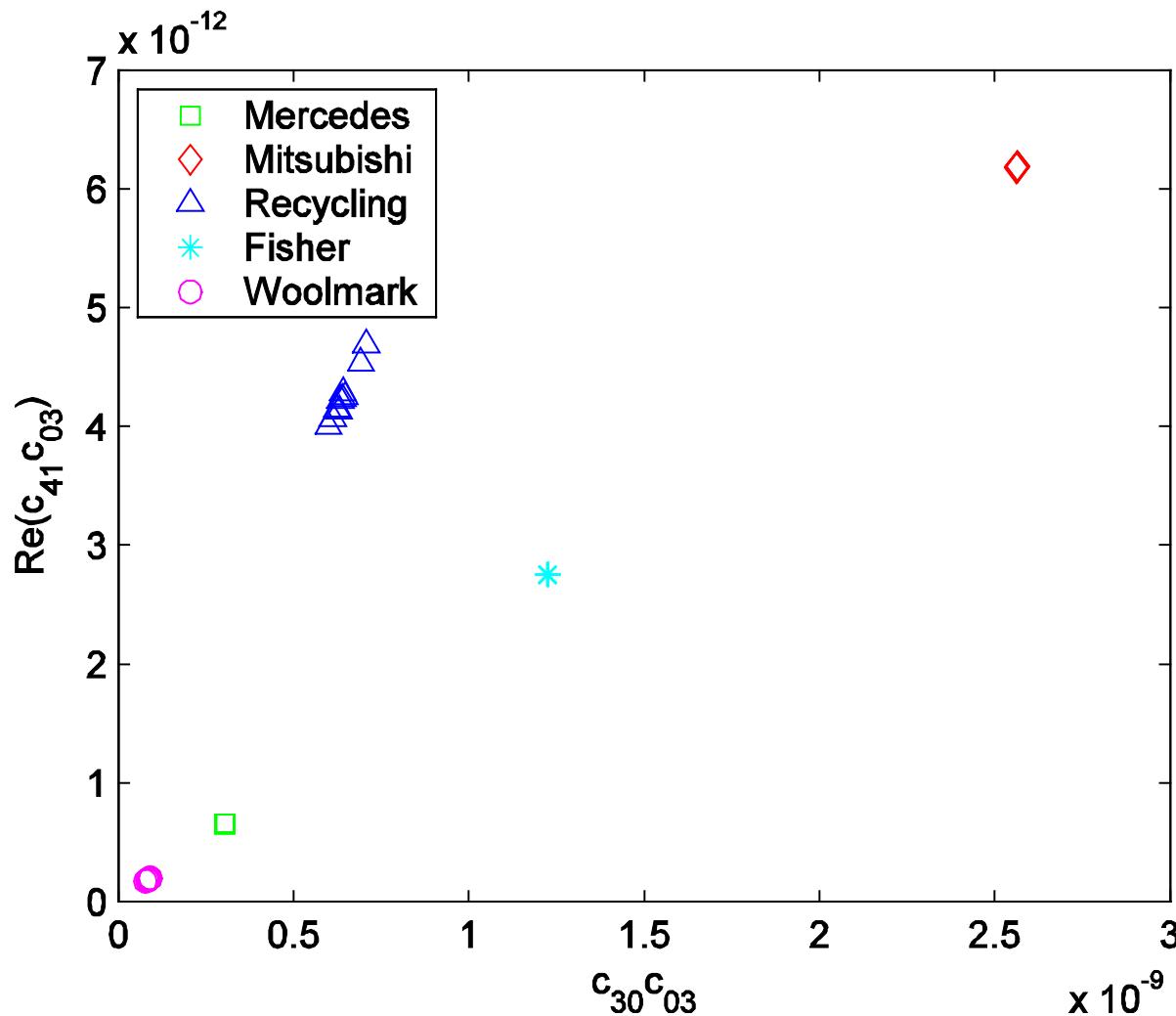
# Recognition of symmetric objects – Experiment 1

Bad choice:  $p_0 = 2, q_0 = 1$



# Recognition of symmetric objects – Experiment 1

Optimal choice:  $p_0 = 3, q_0 = 0$



# Recognition of symmetric objects – Experiment 2



2 objects with  $N=1$

2 objects with  $N=2$

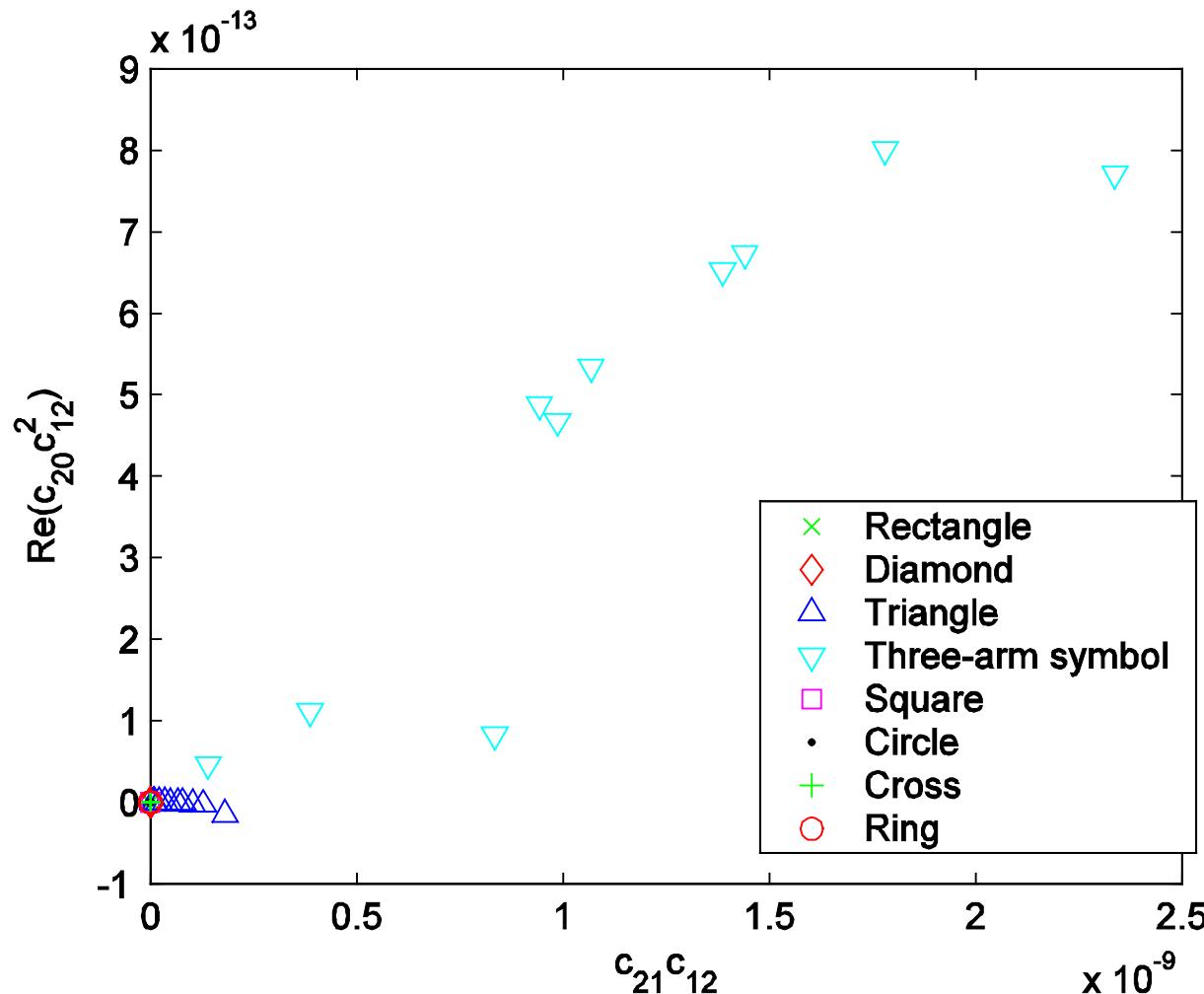
2 objects with  $N=3$

1 object with  $N=4$

2 objects with  $N=\infty$

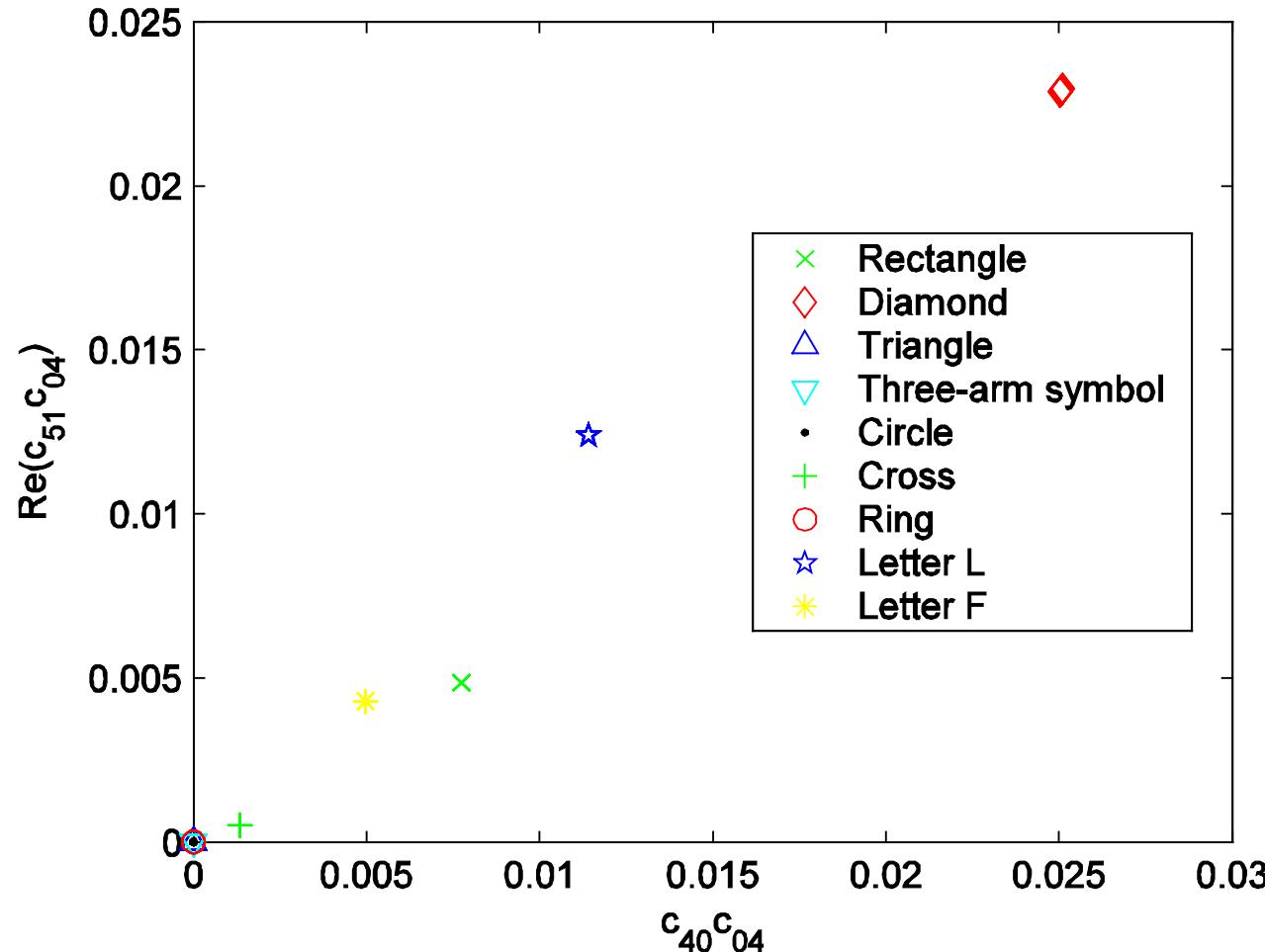
# Recognition of symmetric objects – Experiment 2

Bad choice:  $p_0 = 2, q_0 = 1$



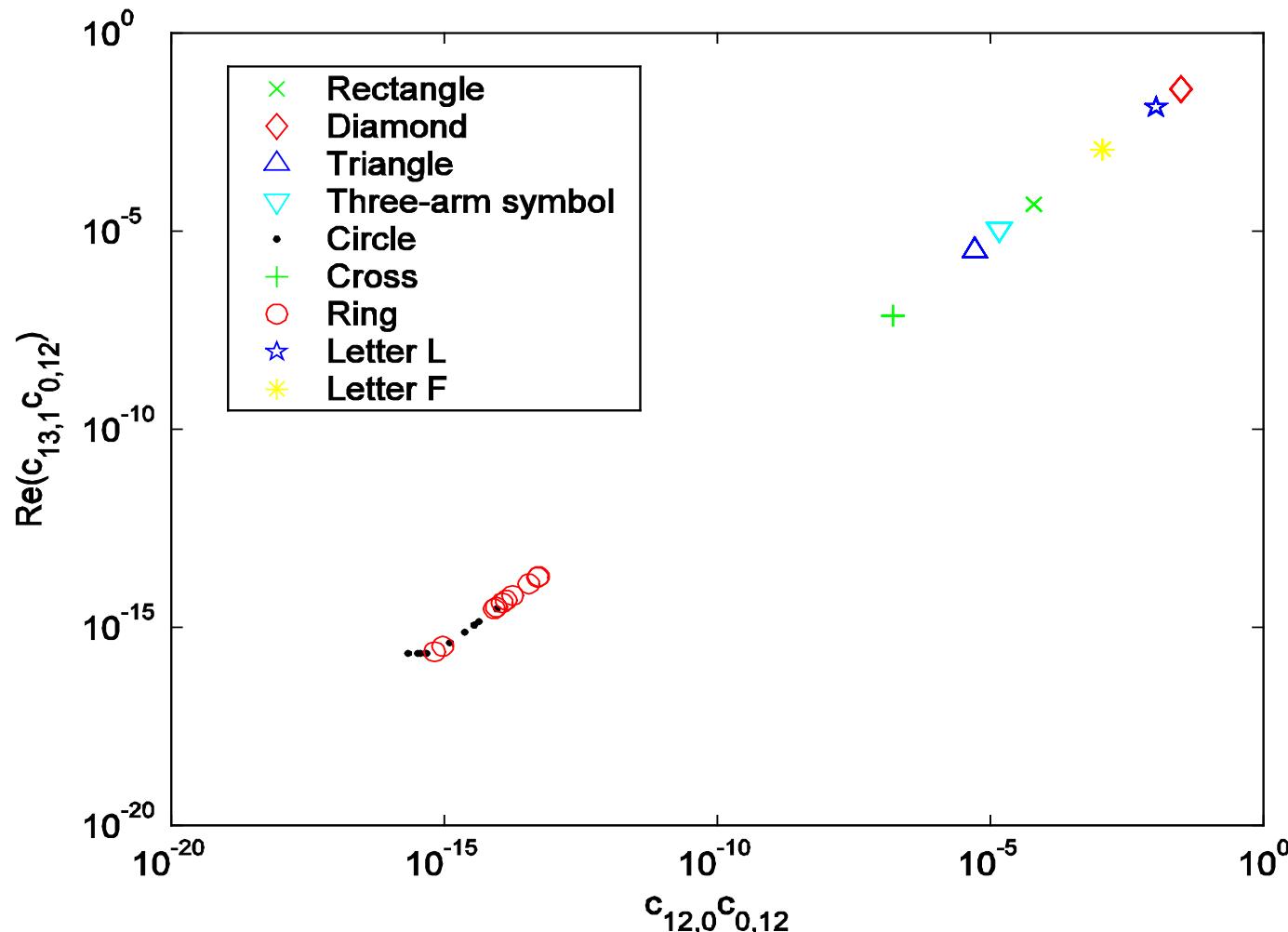
# Recognition of symmetric objects – Experiment 2

Better but not optimal choice:  $p_0 = 4, q_0 = 0$



# Recognition of symmetric objects – Experiment 2

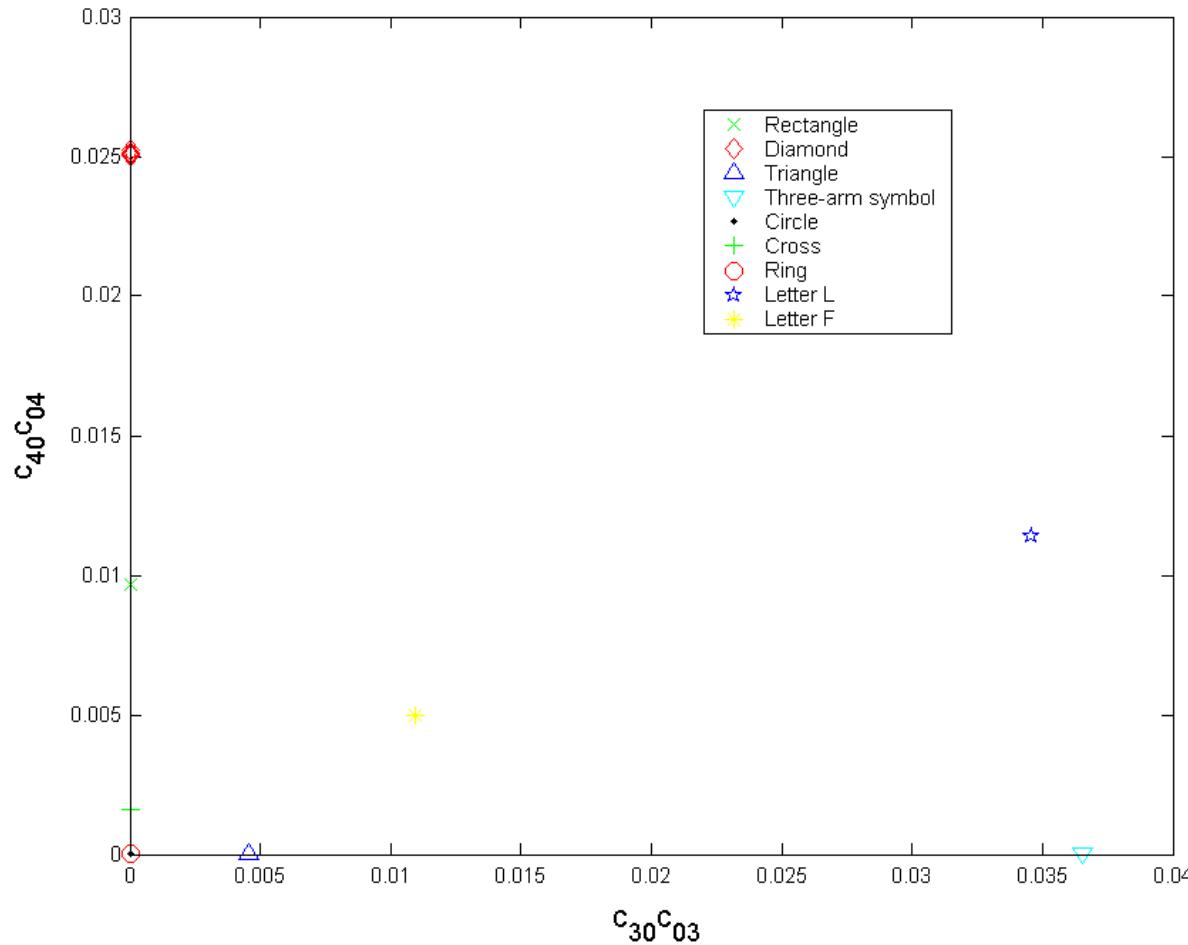
Theoretically optimal choice:  $\rho_0 = 12, q_0 = 0$



Logarithmic scale

# Recognition of symmetric objects – Experiment 2

The best choice: mixed orders



# Normalization of symmetric objects by complex moments

Constraint

$c_{st}$  real and positive  $\implies$

$$\alpha = \frac{1}{s - t} \cdot \arctan \left( \frac{\text{Im}c_{st}}{\text{Re}c_{st}} \right)$$

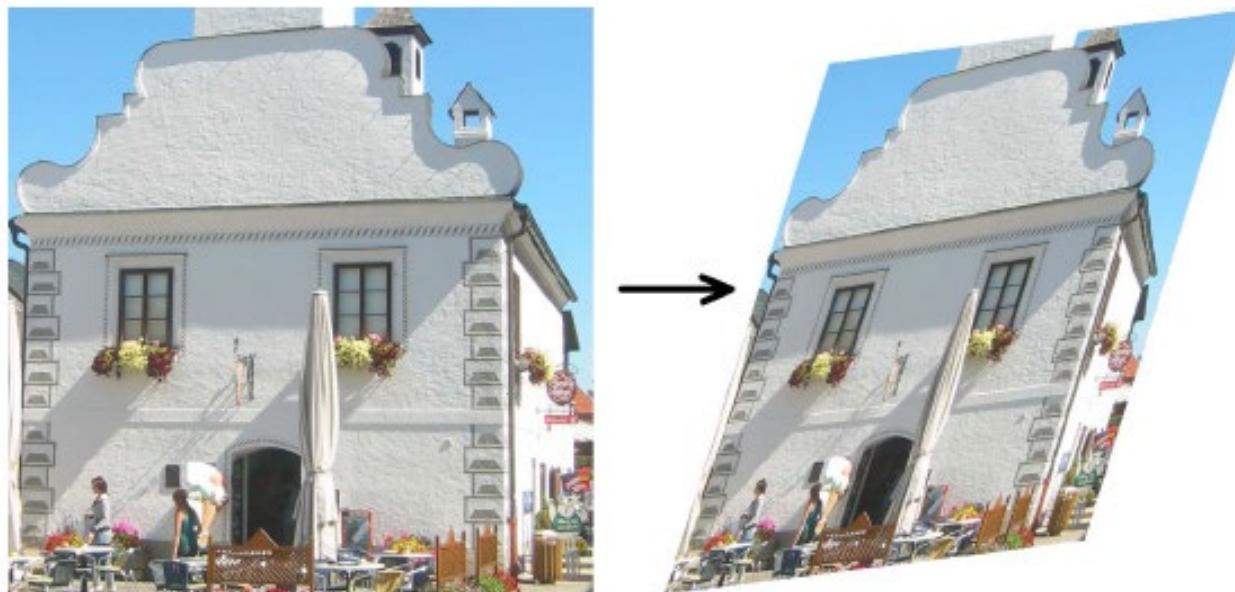
$c_{st}$  must be non-zero !

# Invariants to affine transform

What is affine transform?

$$u = a_0 + a_1x + a_2y$$

$$v = b_0 + b_1x + b_2y$$

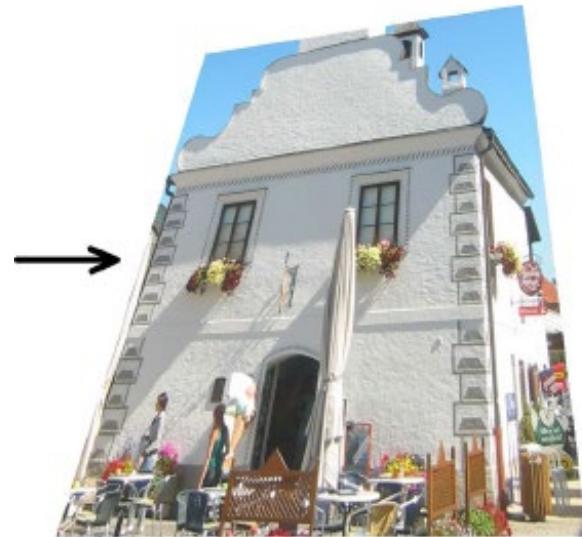


# Why is affine transform important?

- Affine transform is a good approximation of projective transform

$$u = \frac{a_0 + a_1x + a_2y}{1 + c_1x + c_2y}$$

$$v = \frac{b_0 + b_1x + b_2y}{1 + c_1x + c_2y}$$



- Projective transform describes a perspective projection of 3-D objects onto 2-D plane by a central camera

# Why not projective moment invariants?

- Do not exist when using any finite set of moments
- Do not exist when using infinite set of (all) moments
- Exist formally as infinite series of moments of both positive and **negative** indexes

# Affine moment invariants

Many ways how to derive them

- Theory of algebraic invariants (Fundamental theorem)
- Graph method
- Image normalization
- Cayley-Aronhold equation
- Hybrid approaches

All methods lead to equivalent invariants ...

**... such as**

$$I_1 = (\mu_{20}\mu_{02} - \mu_{11}^2)/\mu_{00}^4$$

$$I_2 = (\mu_{30}^2\mu_{03}^2 - 6\mu_{30}\mu_{21}\mu_{12}\mu_{03} + 4\mu_{30}\mu_{12}^3$$

$$+ 4\mu_{03}\mu_{21}^3 - 3\mu_{21}^2\mu_{12}^2)/\mu_{00}^{10}$$

**Two simplest AMI's, frequently cited**

# AMI's by means of the Fundamental theorem

Binary algebraic form

$$\sum_{k=0}^p \binom{p}{k} a_k x^{p-k} y^k$$

Algebraic invariant of weight  $w$

$$I(a'_0, a'_1, \dots, a'_{p_a}; b'_0, b'_1, \dots, b'_{p_b}; \dots) = J^w I(a_0, a_1, \dots, a_{p_a}; b_0, b_1, \dots, b_{p_b}; \dots)$$

# AMI's by means of the Fundamental theorem

**Theorem 3.1** (*Fundamental theorem of AMIs*) If the binary forms of orders  $p_a$ ,  $p_b$ , ... have an algebraic invariant of weight  $w$  and degree  $r$

$$I(a'_0, a'_1, \dots, a'_{p_a}; b'_0, b'_1, \dots, b'_{p_b}; \dots) = J^w I(a_0, a_1, \dots, a_{p_a}; b_0, b_1, \dots, b_{p_b}; \dots),$$

then the moments of the same orders have the same invariant but with the additional factor  $|J|^r$ :

$$\begin{aligned} I(\mu'_{p_a 0}, \mu'_{p_a -1, 1}, \dots, \mu'_{0 p_a}; \mu'_{p_b 0}, \mu'_{p_b -1, 1}, \dots, \mu'_{0 p_b}; \dots) &= \\ &= J^w |J|^r I(\mu_{p_a 0}, \mu_{p_a -1, 1}, \dots, \mu_{0 p_a}; \mu_{p_b 0}, \mu_{p_b -1, 1}, \dots, \mu_{0 p_b}; \dots). \end{aligned}$$

## AMI's by means of the graph method

$(x_1, y_1), (x_2, y_2)$  - arbitrary points

$$C_{12} = x_1 y_2 - x_2 y_1$$

$$C'_{12} = J \cdot C_{12}$$

$r$  points,  $n_{kj}$  – non-negative integers

$$I(f) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \prod_{k,j=1}^r C_{kj}^{n_{kj}} \cdot \prod_{i=1}^r f(x_i, y_i) \, dx_i \, dy_i$$

## AMI's by means of the graph method

$$I(f)' = J^w |J|^r \cdot I(f)$$

where

$$w = \sum_{k,j} n_{kj}$$

## Affine Moment Invariants

$$\left( \frac{I(f)}{\mu_{00}^{w+r}} \right)' = (\text{sign } J)^w \left( \frac{I(f)}{\mu_{00}^{w+r}} \right)$$

if  $w$  is even  $\rightarrow$  "true" invariants

if  $w$  is odd  $\rightarrow$  "pseudoinvariants"

## Simple examples of the AMI's

1)  $r = 2, n_{12} = 2$

$$\begin{aligned} I(f) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (x_1 y_2 - x_2 y_1)^2 f(x_1, y_1) f(x_2, y_2) dx_1 dy_1 dx_2 dy_2 \\ &= 2(m_{20}m_{02} - m_{11}^2) \end{aligned}$$

$$I_1 = (\mu_{20}\mu_{02} - \mu_{11}^2)/\mu_{00}^4$$

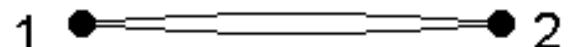
## Simple examples of the AMI's

2)  $r = 3, n_{12} = 2, n_{13} = 2, n_{23} = 0$

$$I(f) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (x_1 y_2 - x_2 y_1)^2 (x_1 y_3 - x_3 y_1)^2 f(x_1, y_1) f(x_2, y_2) f(x_3, y_3) dx_1 dy_1 dx_2 dy_2 dx_3 dy_3$$
$$= m_{20}^2 m_{04} - 4m_{20} m_{11} m_{13} + 2m_{20} m_{02} m_{22} + 4m_{11}^2 m_{22}$$
$$- 4m_{11} m_{02} m_{31} + m_{02}^2 m_{40}$$

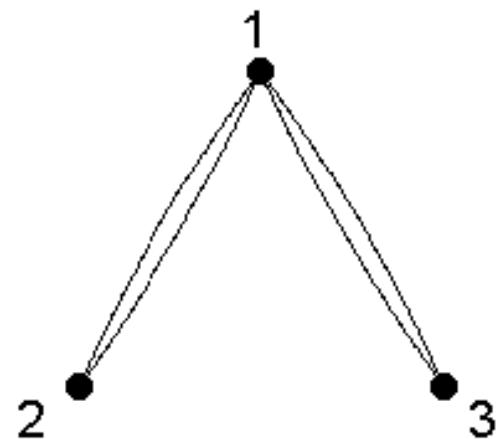
# Graph representation of the AMI's

$(x_k, y_k)$  – a node of the graph



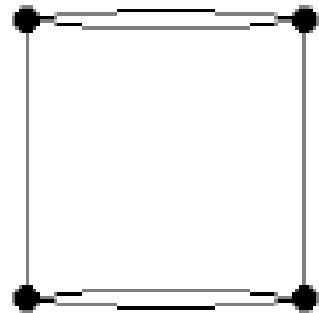
$C_{kj}$  – an edge of the graph

$C_{kj}^{n_{kj}}$  –  $n_{kj}$  edges



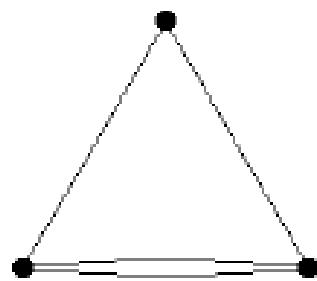
# Graph representation of the AMI's

$$I_2 = (-\mu_{30}^2 \mu_{03}^2 + 6\mu_{30}\mu_{21}\mu_{12}\mu_{03} - 4\mu_{30}\mu_{12}^3 - 4\mu_{21}^3\mu_{03} + 3\mu_{21}^2\mu_{12}^2)/\mu_{00}^{10}$$



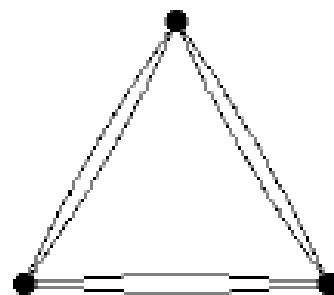
# Graph representation of the AMI's

$$I_3 = (\mu_{20}\mu_{21}\mu_{03} - \mu_{20}\mu_{12}^2 - \mu_{11}\mu_{30}\mu_{03} + \mu_{11}\mu_{21}\mu_{12} + \mu_{02}\mu_{30}\mu_{12} - \mu_{02}\mu_{21}^2)/\mu_{00}^7$$



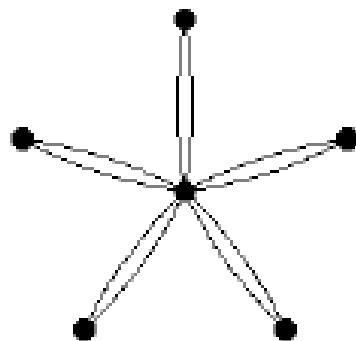
# Graph representation of the AMI's

$$I_7 = (\mu_{40}\mu_{22}\mu_{04} - \mu_{40}\mu_{13}^2 - \mu_{31}^2\mu_{04} + 2\mu_{31}\mu_{22}\mu_{13} - \mu_{22}^3)/\mu_{00}^9$$



# Graph representation of the AMI's

$$I_{352} = (\mu_{20}^5 \mu_{0,10} - 10\mu_{20}^4 \mu_{11} \mu_{19} + 5\mu_{20}^4 \mu_{02} \mu_{28} + 40\mu_{20}^3 \mu_{11}^2 \mu_{28} - 40\mu_{20}^3 \mu_{11} \mu_{02} \mu_{37} \\ + 10\mu_{20}^3 \mu_{02}^2 \mu_{46} - 80\mu_{20}^2 \mu_{11}^3 \mu_{37} + 120\mu_{20}^2 \mu_{11}^2 \mu_{02} \mu_{46} - 60\mu_{20}^2 \mu_{11} \mu_{02}^2 \mu_{55} \\ + 10\mu_{20}^2 \mu_{02}^3 \mu_{64} + 80\mu_{20} \mu_{11}^4 \mu_{46} - 160\mu_{20} \mu_{11}^3 \mu_{02} \mu_{55} + 120\mu_{20} \mu_{11}^2 \mu_{02}^2 \mu_{64} \\ - 40\mu_{20} \mu_{11} \mu_{02}^3 \mu_{73} + 5\mu_{20} \mu_{02}^4 \mu_{82} - 32\mu_{11}^5 \mu_{55} + 80\mu_{11}^4 \mu_{02} \mu_{64} - 80\mu_{11}^3 \mu_{02}^2 \mu_{73} \\ + 40\mu_{11}^2 \mu_{02}^3 \mu_{82} - 10\mu_{11} \mu_{02}^4 \mu_{91} + \mu_{02}^5 \mu_{10,0}) / \mu_{00}^{16}$$



# Dependence among invariants

- Trivial invariants (zero, identity)
- Reducible invariants (products, linear combinations)
- Irreducible invariants (polynomials, polynomials of products)
- Independent invariants

# Dependence among invariants

- Trivial invariants (always zero or identical)

$$\begin{aligned} I(f) &= \int_{-\infty}^{\infty} (x_1 y_2 - x_2 y_1)^3 f(x_1, y_1) f(x_2, y_2) dx_1 dy_1 dx_2 dy_2 \\ &= m_{30}m_{03} - 3m_{21}m_{21} + 3m_{21}m_{21} - m_{30}m_{03} = 0. \end{aligned}$$



# Removing dependence

For  $w \leq 12$  :

2 533 942 752 invariants (graphs) altogether

2 532 349 394 zero invariants

1 575 126 identical invariants

14 538 linear combinations

2 105 products

---

1589 irreducible invariants

80 independent invariants

# Removing dependence

The most difficult step: How to proceed from irreducible to independent invariants?

Exhaustive search of all possible polynomial dependencies

$$-4I_1^3I_2^2 + 12I_1^2I_2I_3^2 - 12I_1I_3^4 - I_2I_4^2 + 4I_3^3I_4 - I_5^2 = 0.$$

$$-16I_1^3I_7^2 - 8I_1^2I_6I_7I_8 - I_1I_6^2I_8^2 + 4I_1I_6I_9^2 + 12I_1I_7I_8I_9 + I_6I_8^2I_9 - I_7I_8^3 - 4I_9^3 - I_{10}^2 = 0$$

The dependencies themselves may be dependent ! (2<sup>nd</sup>-order dependencies)

## Higher-order dependencies

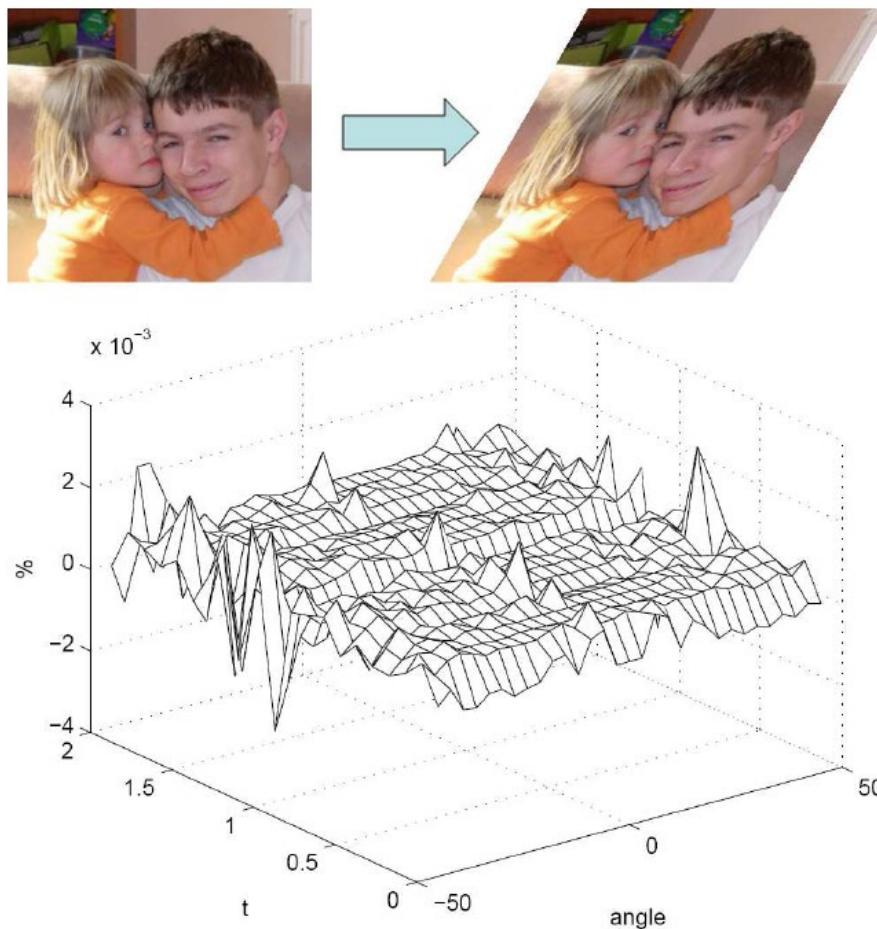
$$\begin{aligned} S_1 : \quad & I_a^2 + I_b I_c = 0, \\ S_2 : \quad & I_d^2 - I_b I_c^2 = 0, \\ S_3 : \quad & I_a^4 + 2I_a^2 I_b I_c + I_d^2 I_b = 0. \end{aligned}$$

$$S_1^2 + I_b S_2 - S_3 = 0$$

The number of independent invariants:

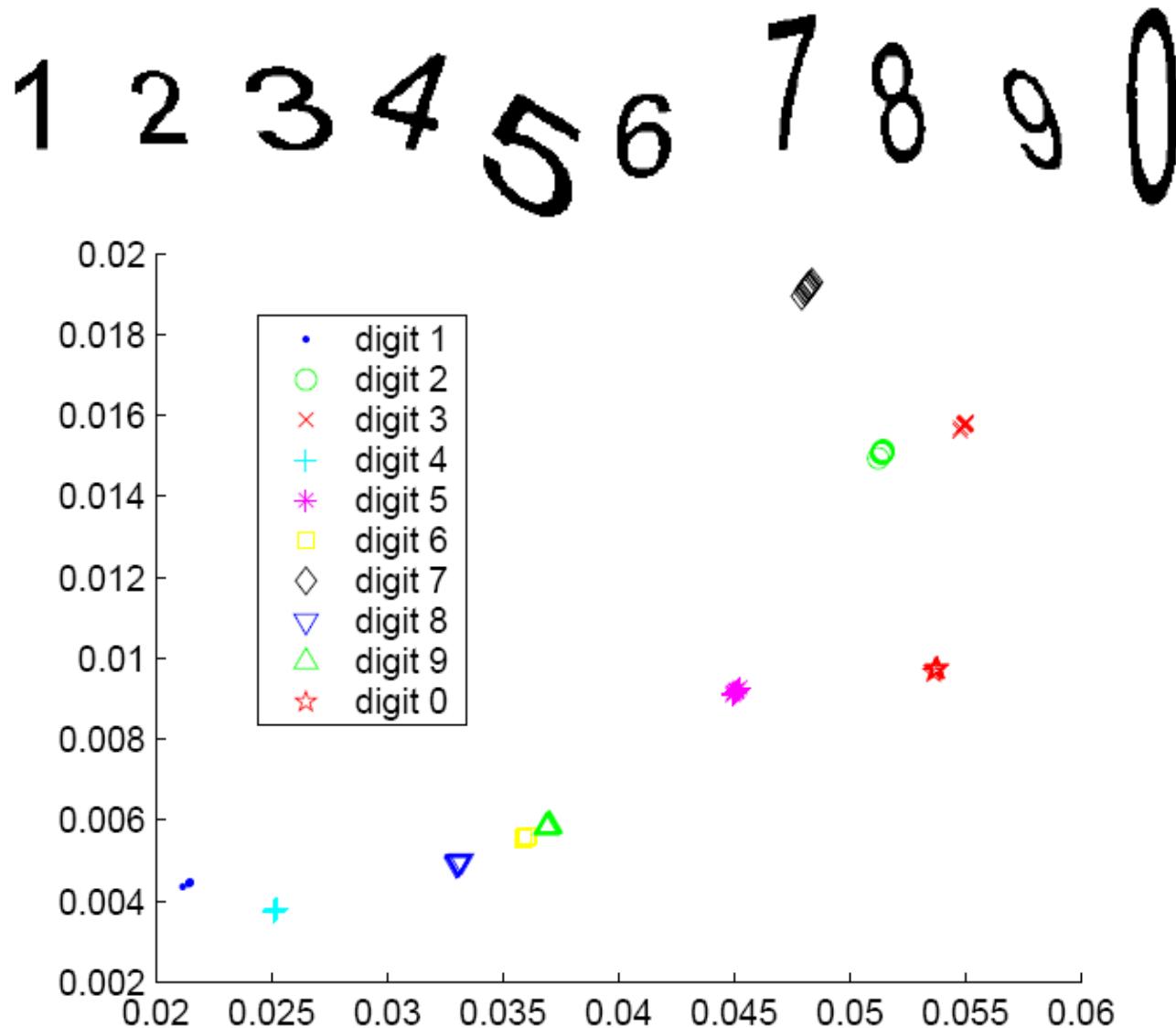
$$n = n_0 - n_1 + n_2 - n_3 + \dots ,$$

# Numerical experiments with the AMI's



$$I_1 = (\mu_{20}\mu_{02} - \mu_{11}^2)/\mu_{00}^4$$

# Digit recognition by the AMI's



# Noisy digit recognition by the AMI's

a) Independent invariant set  $I_1, I_2, I_3, I_4, I_6, I_7, I_8, I_9$

| 1  | 2  | 3  | 4  | 5   | 6  | 7  | 8   | 9  | 0  | overall |
|----|----|----|----|-----|----|----|-----|----|----|---------|
| 76 | 80 | 31 | 34 | 100 | 46 | 76 | 100 | 68 | 51 | 66.2    |

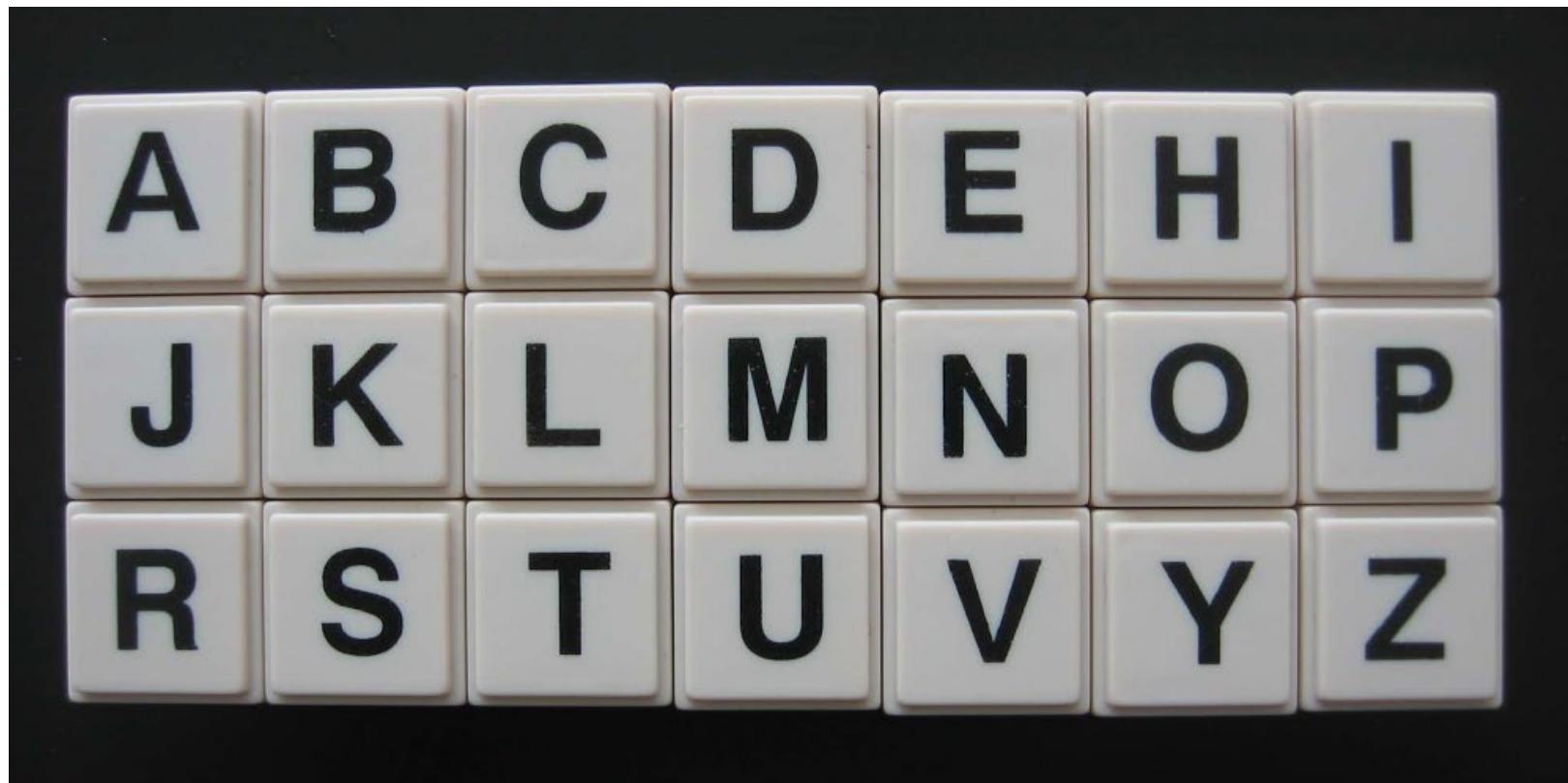
b) Dependent invariant set  $I_1, I_2, I_3, I_4, I_6, I_7, I_8, I_9, I_{10}$

| 1  | 2   | 3  | 4  | 5  | 6  | 7  | 8   | 9  | 0  | overall |
|----|-----|----|----|----|----|----|-----|----|----|---------|
| 51 | 100 | 46 | 73 | 53 | 56 | 74 | 100 | 72 | 70 | 69.5    |

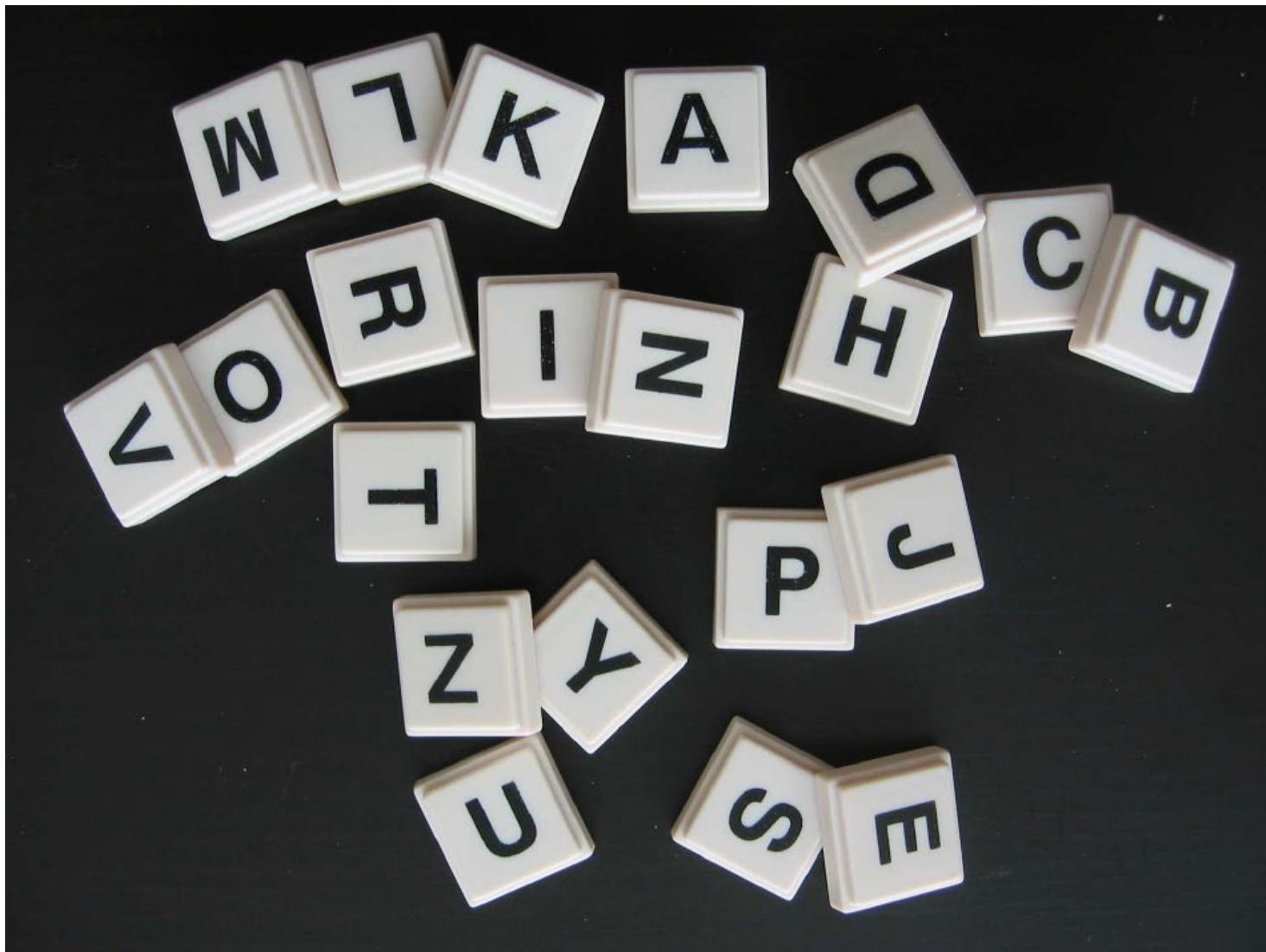
c) Independent invariant set  $I_1, I_2, I_3, I_4, I_6, I_7, I_8, I_9, I_{25}$

| 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8   | 9  | 0  | overall |
|----|----|----|----|----|----|----|-----|----|----|---------|
| 89 | 77 | 73 | 63 | 94 | 66 | 79 | 100 | 54 | 50 | 74.5    |

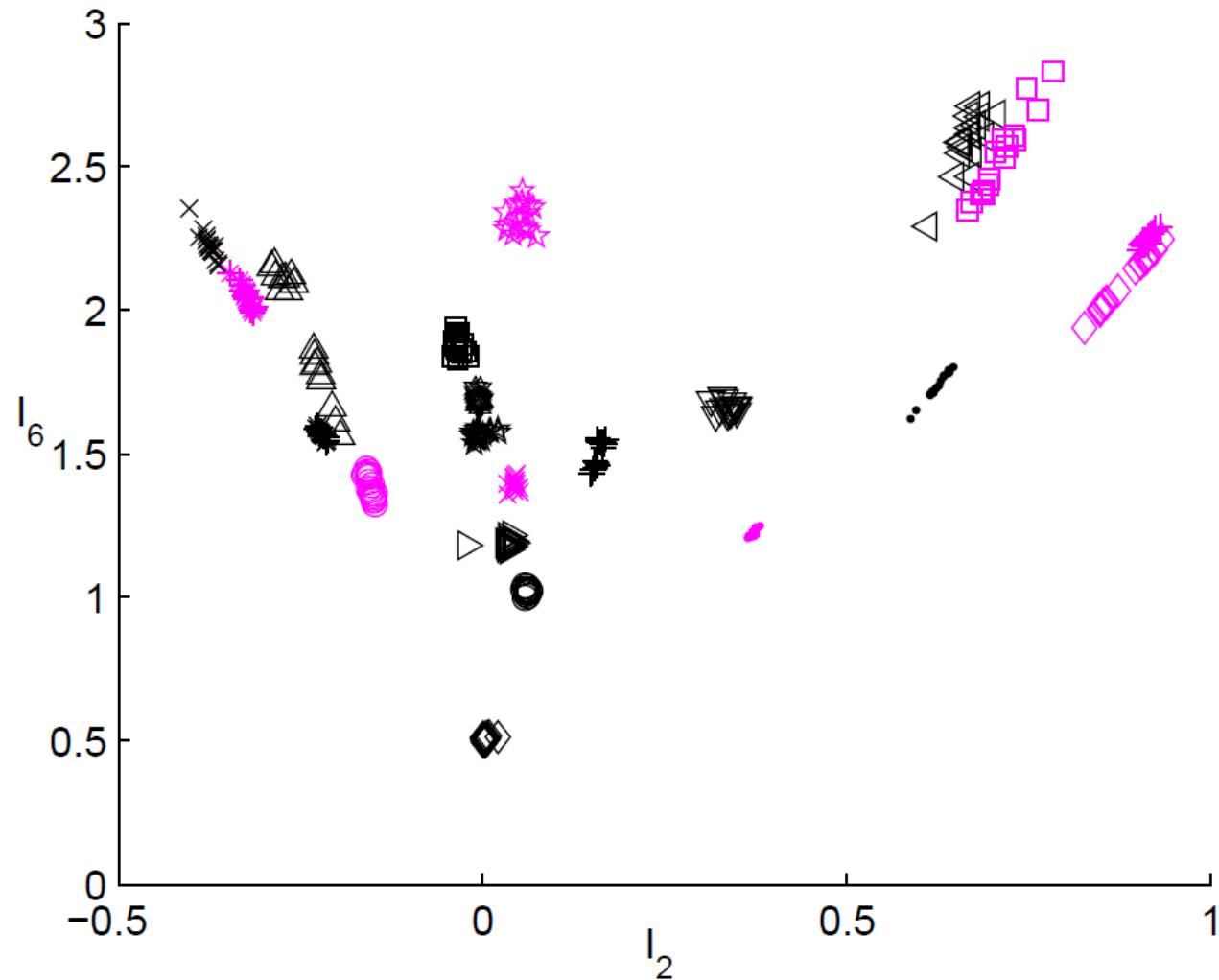
# Scrabble tiles recognition by the AMI's



# Scrabble tiles recognition by the AMI's



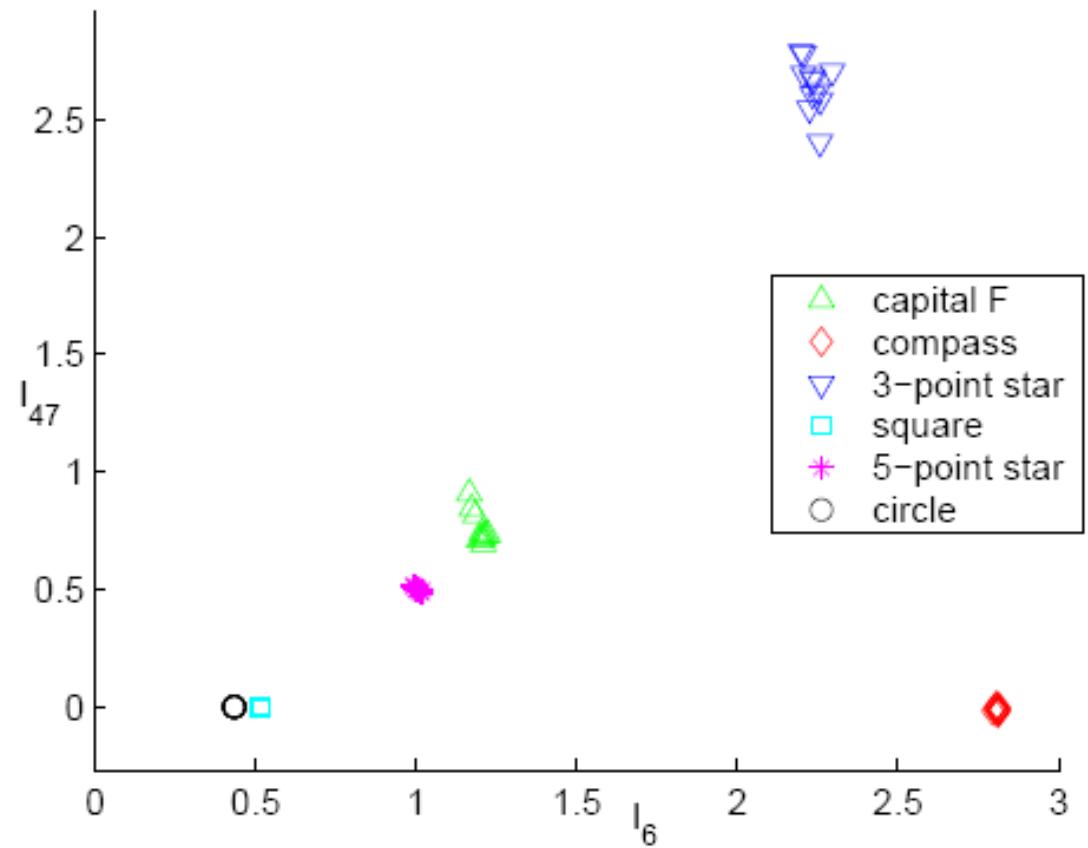
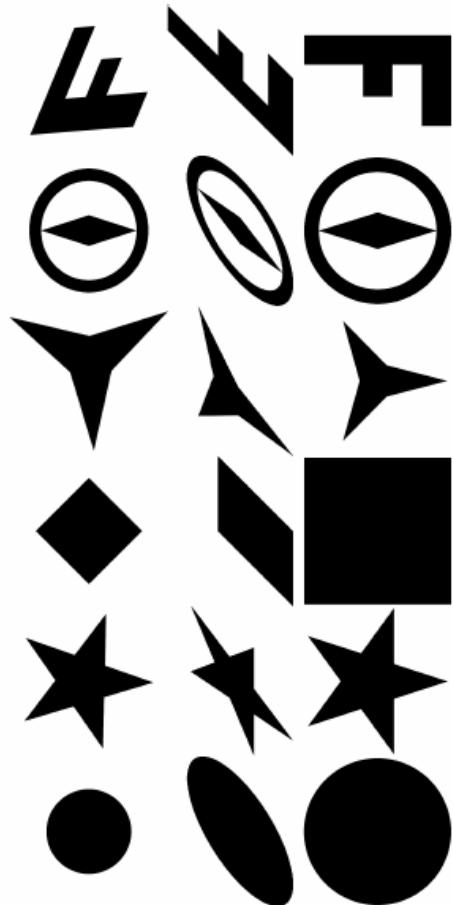
# Scrabble tiles recognition by the AMI's



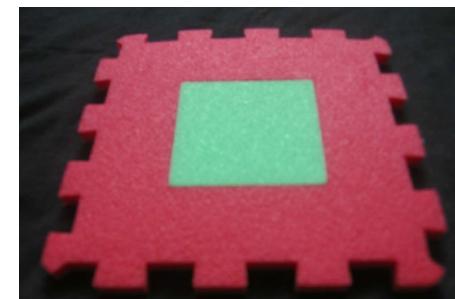
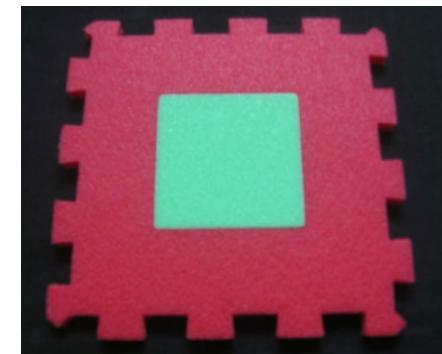
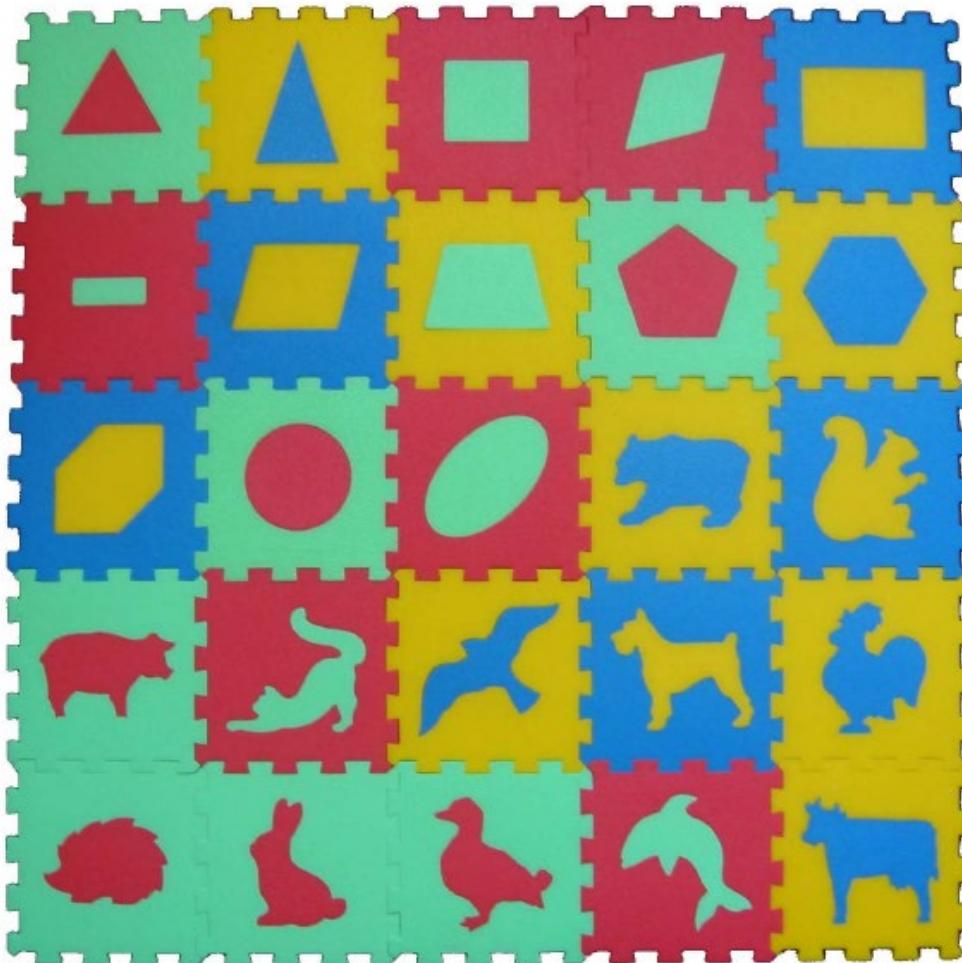
# Scrabble tiles recognition – the results

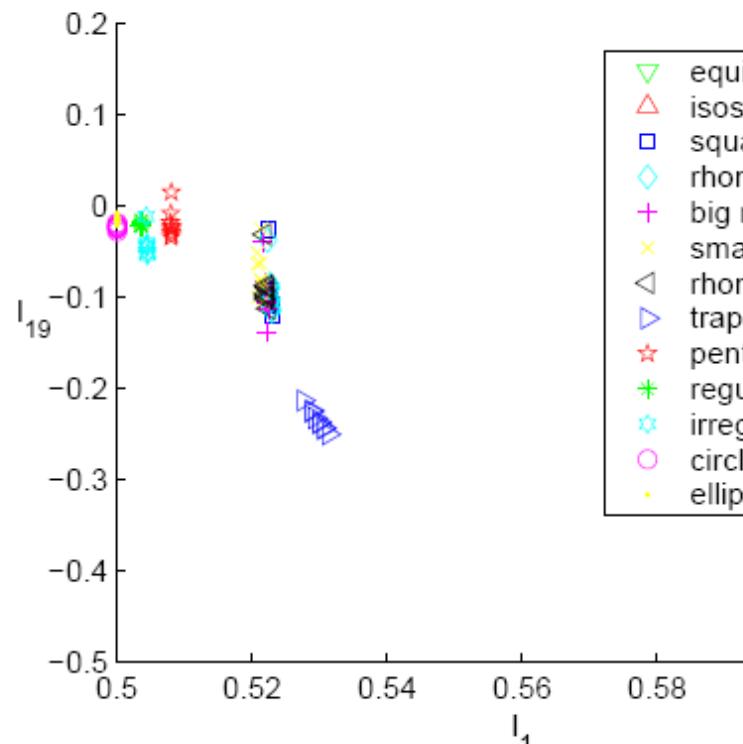
- Dependent set of 17 AMI's → 9 misclassifications  
(out of 168 cases)
- Independent set of 15 AMI's → 5 misclassifications

# Recognition of symmetric patterns



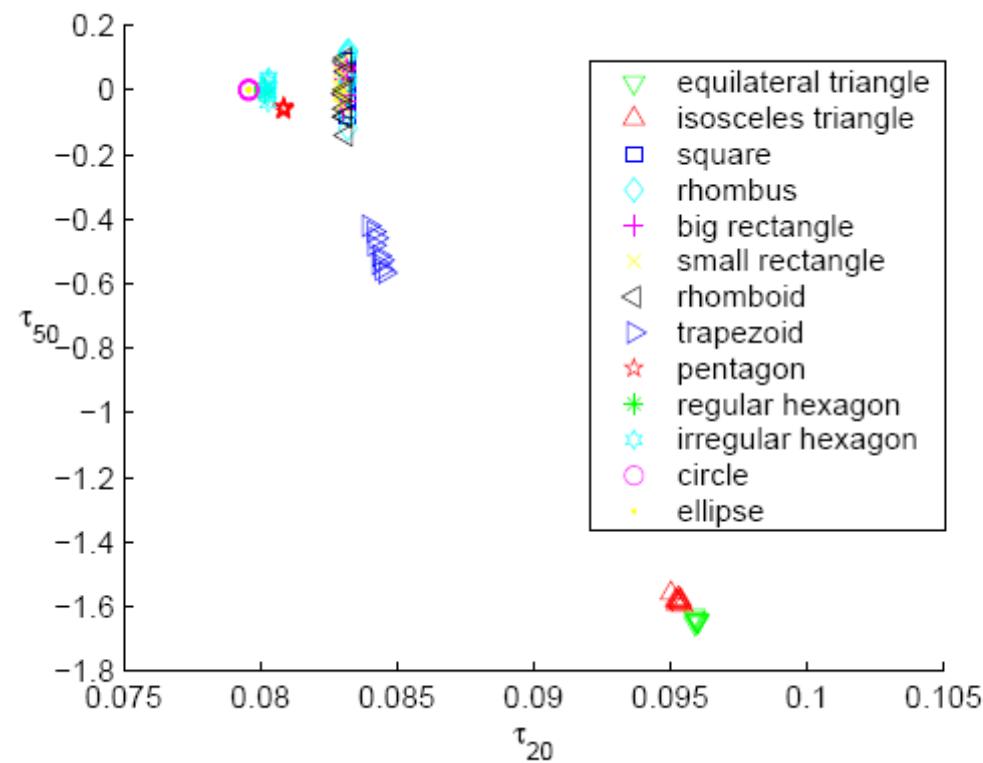
# Recognition of children's mosaic





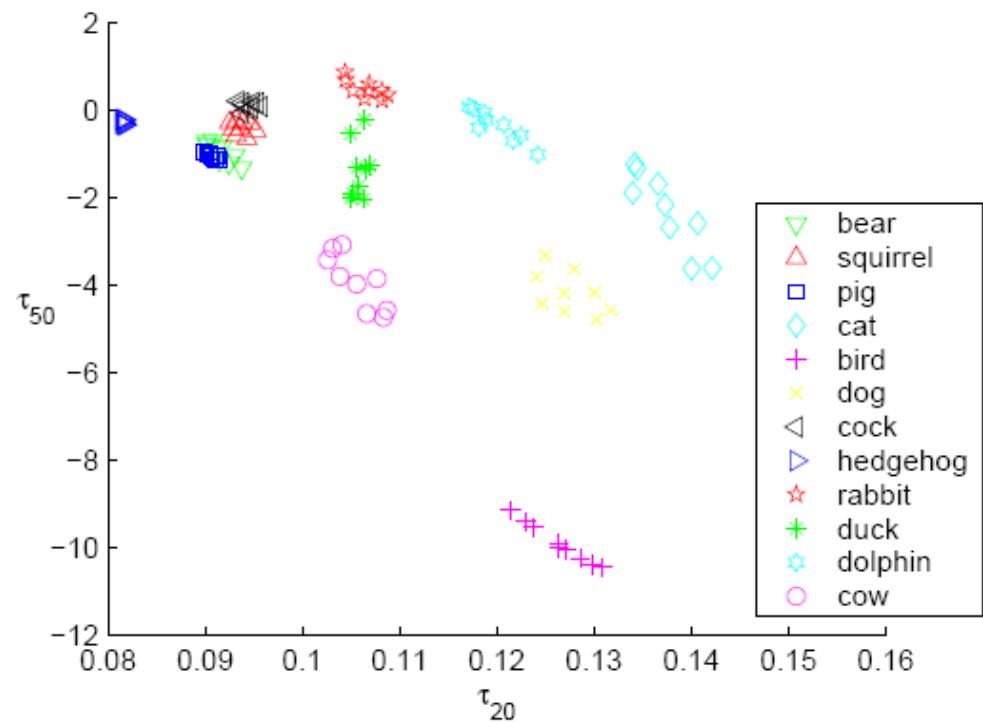
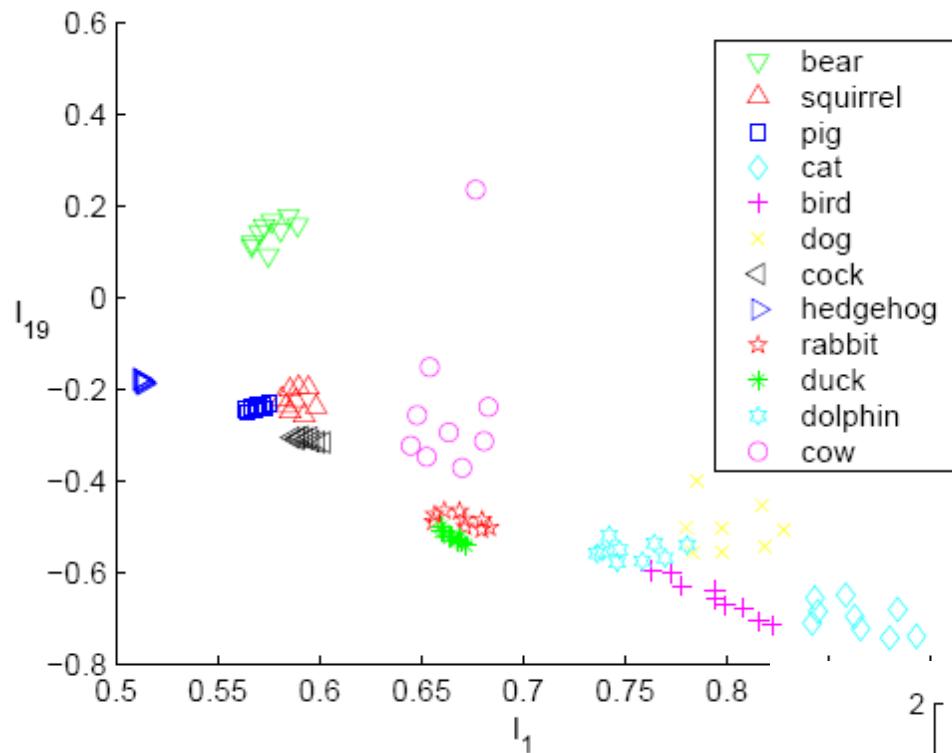
Legend:

- equilateral triangle
- isosceles triangle
- square
- rhombus
- big rectangle
- small rectangle
- rhomboid
- trapezoid
- pentagon
- regular hexagon
- irregular hexagon
- circle
- ellipse

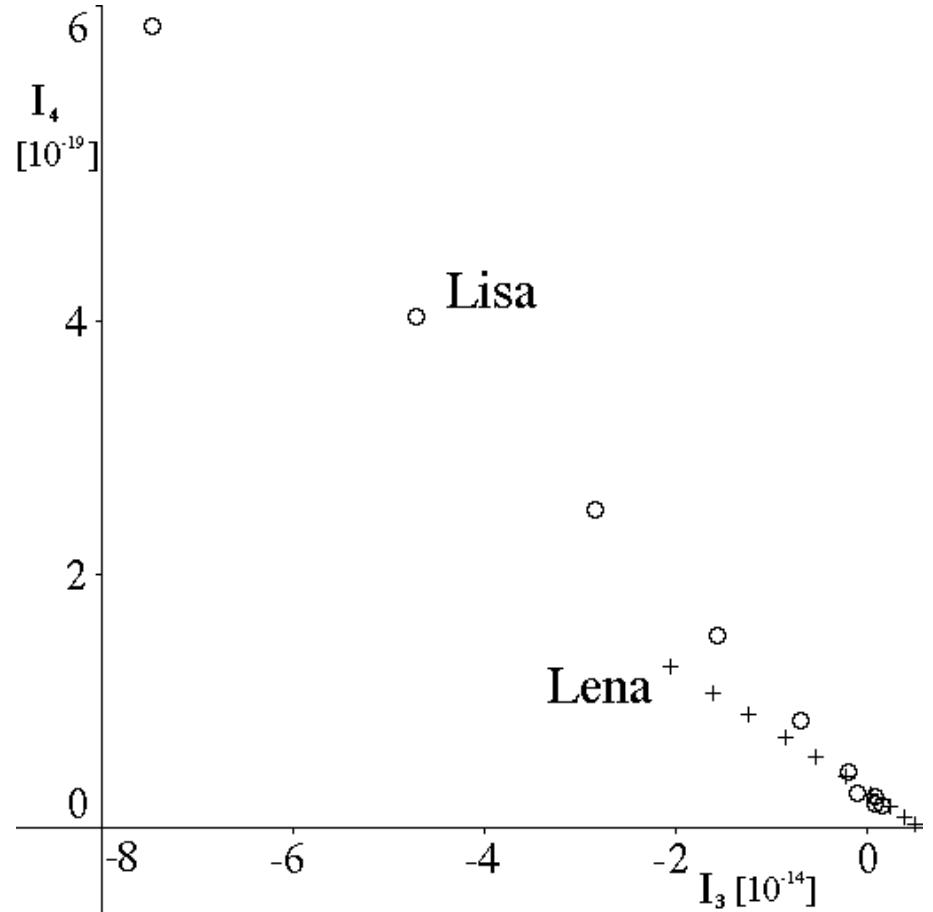


Legend:

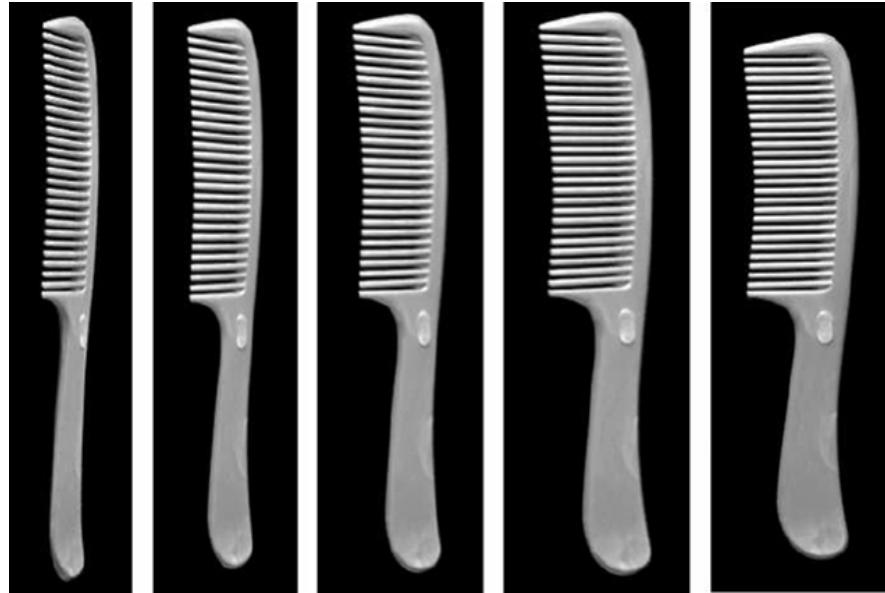
- equilateral triangle
- isosceles triangle
- square
- rhombus
- big rectangle
- small rectangle
- rhomboid
- trapezoid
- pentagon
- regular hexagon
- irregular hexagon
- circle
- ellipse



# Robustness of the AMI's to distortions



# Robustness of the AMI's to distortions

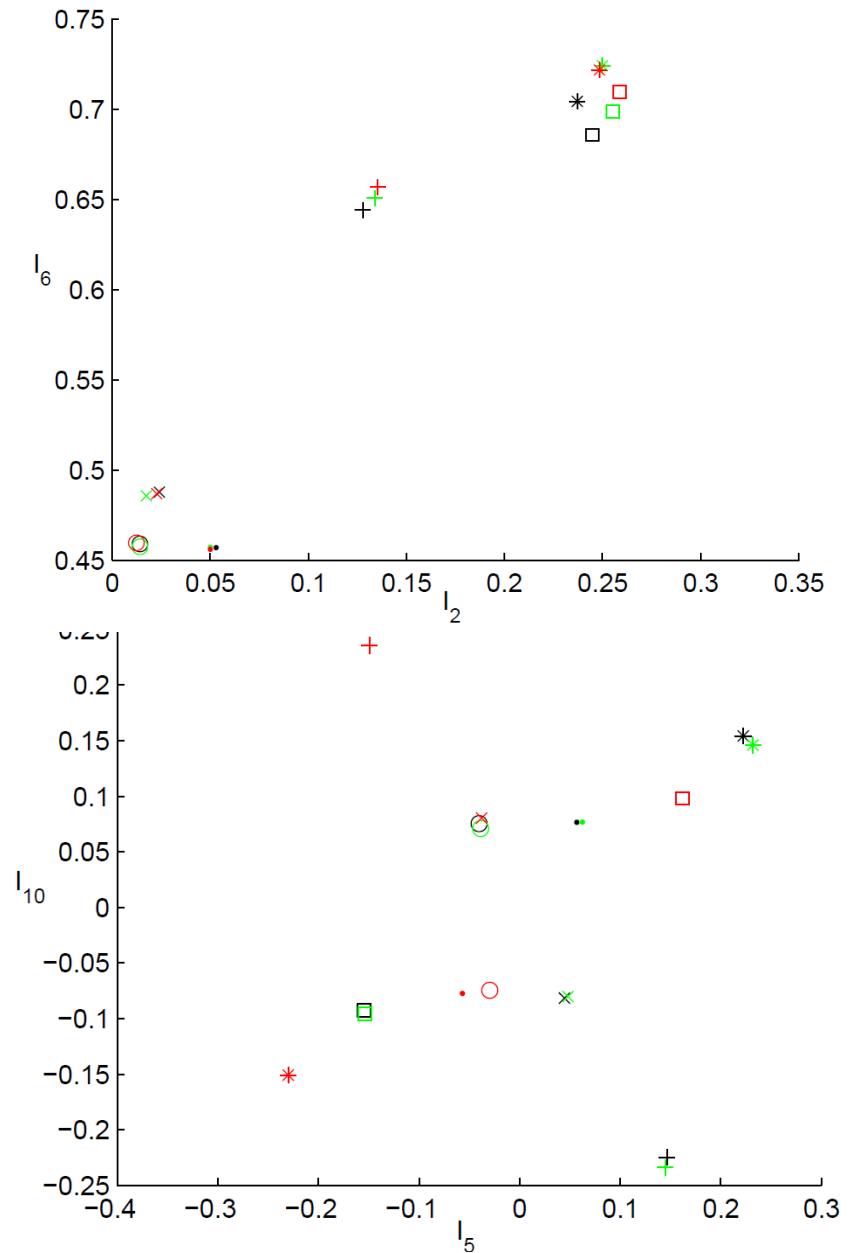


| $\gamma [^\circ]$ | $I_1[10^{-2}]$ | $I_2[10^{-6}]$ | $I_3[10^{-4}]$ | $I_4[10^{-5}]$ | $I_6[10^{-3}]$ | $I_7[10^{-5}]$ | $I_8[10^{-3}]$ | $I_9[10^{-4}]$ |
|-------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| 0                 | 2.802          | 1.538          | -2.136         | -1.261         | 4.903          | 6.498          | 4.526          | 1.826          |
| 30                | 2.679          | 1.292          | -1.927         | -1.109         | 4.466          | 5.619          | 4.130          | 1.589          |
| 45                | 2.689          | 1.328          | -1.957         | -1.129         | 4.494          | 5.684          | 4.158          | 1.605          |
| 60                | 2.701          | 1.398          | -2.011         | -1.162         | 4.528          | 5.769          | 4.193          | 1.626          |
| 75                | 2.816          | 1.155          | -1.938         | -1.292         | 5.033          | 6.484          | 4.597          | 1.868          |

# The role of pseudoinvariants

- They change the sign under mirroring (i.e. if  $J < 0$ )
- This may or may not be desirable depending on the application
- They are zero on all objects having axial symmetry

# The role of pseudoinvariants



# Affine invariants from Cayley-Aronhold equation

Skewing parameter  $t$

$$\frac{dI}{dt} = \sum_p \sum_q \frac{\partial I}{\partial \mu_{pq}} \frac{d\mu_{pq}}{dt} = 0$$

$$\sum_p \sum_q p \mu_{p-1,q+1} \frac{\partial I}{\partial \mu_{pq}} = 0$$

$$I = \left( \sum_{j=1}^{n_t} c_j \prod_{\ell=1}^r \mu_{p_{j\ell}, q_{j\ell}} \right) / \mu_{00}^{r+w}$$

# Affine invariants from complex moments

$$I(c_{pq}) = (-2i)^w I(\mu_{pq})$$

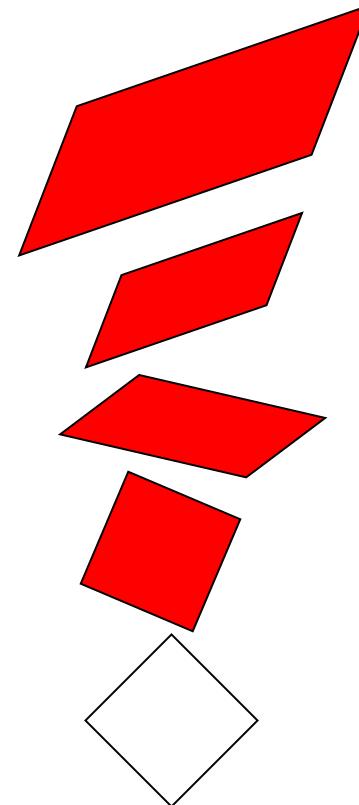
# Affine invariants via normalization

**Many possibilities how to define normalization constraints**

**Several possible decompositions of affine transform**

# Decomposition of the affine transform

- Horizontal and vertical translation
- Scaling
- First rotation
- Stretching
- Second rotation
- Mirror reflection



# Normalization to partial transforms

- Horizontal and vertical translation --  
 $m_{01} = m_{10} = 0$
- Scaling --  $c_{00} = 1$
- First rotation --  $c_{20}$  real and positive
- Stretching --  $c_{20} = 0$  ( $\mu_{20} = \mu_{02}$ )
- Second rotation --  $c_{21}$  real and positive

# Moment values after the normalization

- Translation, uniform scaling and the first rotation

$$\mu'_{pq} = \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{p+j} \sin^{p-k+j} \alpha \cos^{q+k-j} \alpha \nu_{k+j, p+q-k-j}$$

$$\alpha = \frac{1}{2} \arctan \left( \frac{2\mu_{11}}{\mu_{20} - \mu_{02}} \right)$$

- Stretching

$$\mu''_{pq} = \delta^{p-q} \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{p+j} \sin^{p-k+j} \alpha \cos^{q+k-j} \alpha \nu_{k+j, p+q-k-j}$$

$$\delta = \sqrt[4]{\frac{\mu'_{02}}{\mu'_{20}}}$$

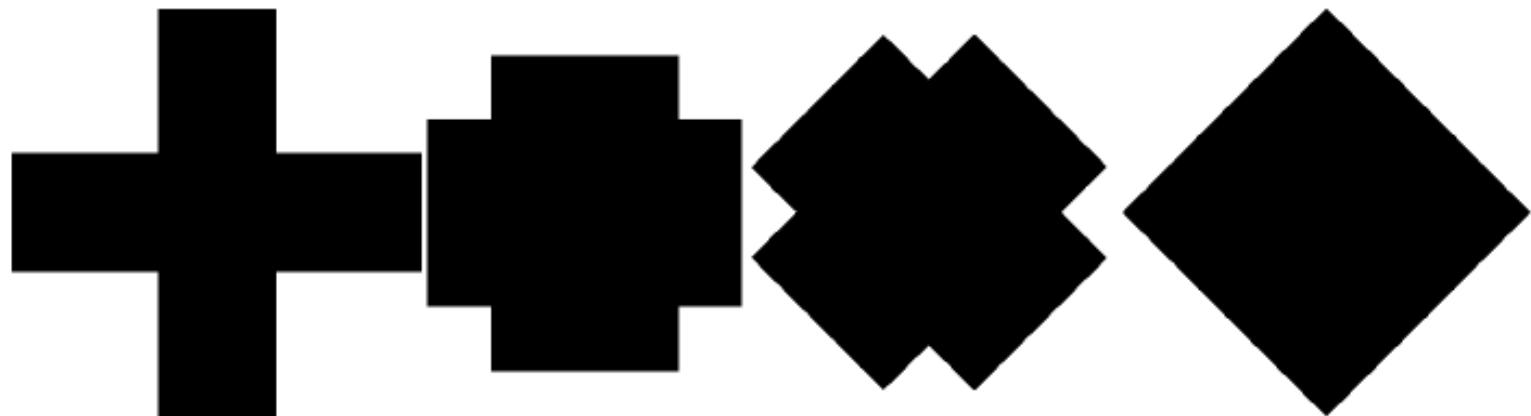
# Moment values after the normalization

- Second rotation

$$\tau_{pq} = \sum_{k=0}^p \sum_{j=0}^q \binom{p}{k} \binom{q}{j} (-1)^{p+j} \sin^{p-k+j} \varrho \cos^{q+k-j} \varrho \mu''_{k+j, p+q-k-j}$$

$$\varrho = \frac{1}{s-t} \arctan \left( \frac{\mathcal{I}m(c''_{st})}{\mathcal{R}e(c''_{st})} \right)$$

# Possible volatility of the normalization



# Affine invariants in 3D

3D affine transform

$$\mathbf{x}' = \mathbf{A}\mathbf{x} + \mathbf{b},$$

$$\mathbf{x}' = \begin{pmatrix} x' \\ y' \\ z' \end{pmatrix}, \mathbf{A} = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{pmatrix}, \mathbf{x} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}, \mathbf{b} = \begin{pmatrix} a_0 \\ b_0 \\ c_0 \end{pmatrix}$$

Analogy with the graph method

$$I(f) = \int_{-\infty}^{\infty} \prod_{j,k,\ell=1}^r C_{jkl}^{n_{jkl}} \cdot \prod_{i=1}^r f(x_i, y_i, z_i) dx_i dy_i dz_i,$$

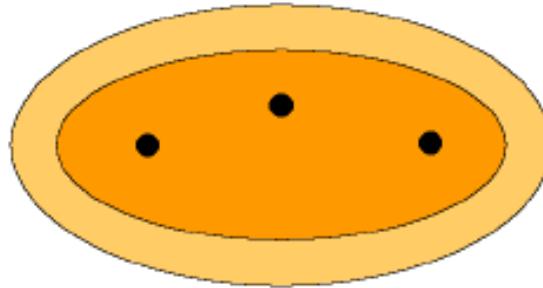
$$I(f)' = J^w |J|^r I(f)$$

# Affine invariants in 3D

An example

$$\begin{aligned} I_1^{3D} &= \frac{1}{6} \int_{-\infty}^{\infty} C_{123}^2 f(x_1, y_1, z_1) f(x_2, y_2, z_2) f(x_3, y_3, z_3) dx_1 dy_1 dz_1 dx_2 dy_2 dz_2 dx_3 dy_3 dz_3 / \mu_{000}^5 \\ &= (\mu_{200}\mu_{020}\mu_{002} + 2\mu_{110}\mu_{101}\mu_{011} - \mu_{200}\mu_{011}^2 - \mu_{020}\mu_{101}^2 - \mu_{002}\mu_{110}^2) / \mu_{000}^5. \end{aligned}$$

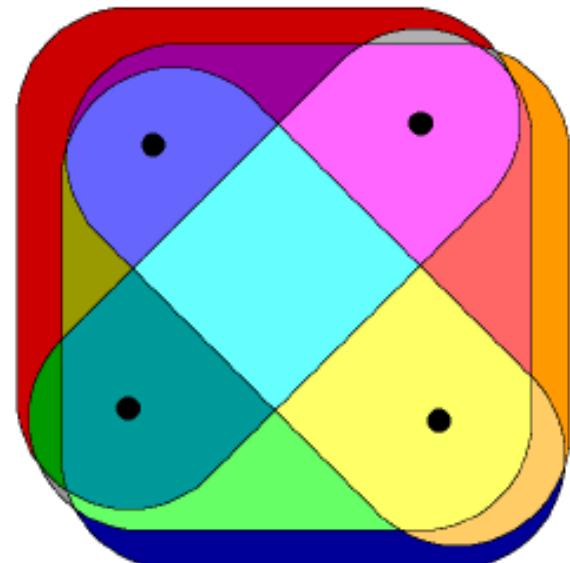
Corresponding hypergraph



# Affine invariants in 3D

$$\begin{aligned} I_2^{3D} &= \frac{1}{36} \int_{-\infty}^{\infty} C_{123} C_{124} C_{134} C_{234} f(x_1, y_1, z_1) f(x_2, y_2, z_2) f(x_3, y_3, z_3) f(x_4, y_4, z_4) \\ &\quad dx_1 dy_1 dz_1 dx_2 dy_2 dz_2 dx_3 dy_3 dz_3 dx_4 dy_4 dz_4 / \mu_{000}^8 = \\ &= (\mu_{300}\mu_{003}\mu_{120}\mu_{021} + \mu_{300}\mu_{030}\mu_{102}\mu_{012} + \mu_{030}\mu_{003}\mu_{210}\mu_{201} - \mu_{300}\mu_{120}\mu_{012}^2 - \\ &\quad - \mu_{300}\mu_{102}\mu_{021}^2 - \mu_{030}\mu_{210}\mu_{102}^2 - \mu_{030}\mu_{201}^2\mu_{012} - \mu_{003}\mu_{210}^2\mu_{021} - \mu_{003}\mu_{201}\mu_{120}^2 - \\ &\quad - \mu_{300}\mu_{030}\mu_{003}\mu_{111} + \mu_{300}\mu_{021}\mu_{012}\mu_{111} + \mu_{030}\mu_{201}\mu_{102}\mu_{111} + \mu_{003}\mu_{210}\mu_{120}\mu_{111} + \\ &\quad + \mu_{210}^2\mu_{012}^2 + \mu_{201}^2\mu_{021}^2 + \mu_{120}^2\mu_{102}^2 - \mu_{210}\mu_{120}\mu_{102}\mu_{012} - \mu_{210}\mu_{201}\mu_{021}\mu_{012} - \\ &\quad - \mu_{201}\mu_{120}\mu_{102}\mu_{021} - 2\mu_{210}\mu_{012}\mu_{111}^2 - 2\mu_{201}\mu_{021}\mu_{111}^2 - 2\mu_{120}\mu_{102}\mu_{111}^2 + \\ &\quad + 3\mu_{210}\mu_{102}\mu_{021}\mu_{111} + 3\mu_{201}\mu_{120}\mu_{012}\mu_{111} + \mu_{111}^4) / \mu_{000}^8. \end{aligned}$$

Corresponding hypergraph



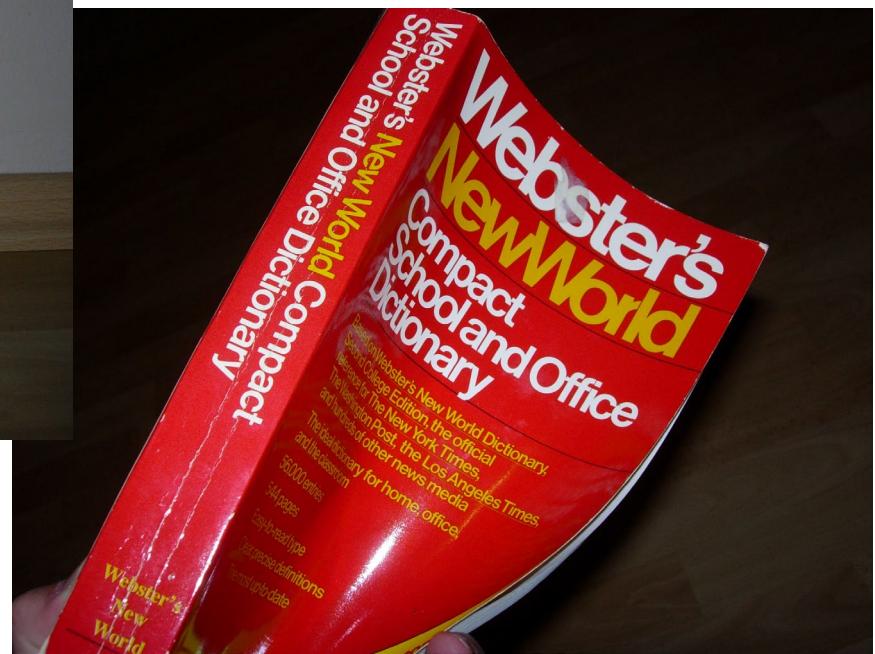
# Documented applications of the AMI's

- Character/digit/symbol recognition in the case of skewing/slant
- Landmark recognition in robotics
- Recognition of aircraft and ships from non-perpendicular views
- Recognition of algae, fishes, whales, etc
- Image registration
- Target tracking
- Normalization of database images

# Invariants to elastic deformations

0 1 2 3  
4 5 6 7  
8 9

# How can we recognize objects on curved surfaces ...



# Moment matching

- Find the best possible fit by minimizing the error and set

$$I(f, f') = \min_a \|\mathbf{m}' - A \cdot \mathbf{m}\|$$